

EXHIBIT C

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7 Bard Peripheral Vascular, Inc.

8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA
10 SAN FRANCISCO DIVISION

11 WAYNE RUDEN,

12 Plaintiff,

13 vs.

14 C. R. BARD, INC., a New Jersey corporation,
BARD PERIPHERAL VASCULAR, INC. (a
15 subsidiary and/or division of defendant C. R.
BARD, INC.) an Arizona corporation,
16 CALIFORNIA PACIFIC MEDICAL CENTER,
and DOES 1-100 INCLUSIVE,

17 Defendants.
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Case No.:

[Removal from Superior Court of California,
County of San Francisco, Case No. CGC-15-
548341]

**DEFENDANTS C. R. BARD, INC. AND
BARD PERIPHERAL VASCULAR, INC.'S
NOTICE OF REMOVAL OF ACTION
UNDER 28 U.S.C. § 1441(B)**

[Filed Concurrently With Civil Cover Sheet,
Corporate Disclosure Statement, Notice of
Pendency of Other Actions or Proceedings,
Certification of Interested Entities or Persons,
and Jury Trial Demand]

REED SMITH LLP
A limited liability partnership formed in the State of Delaware

1 **TO THE CLERK OF THE UNITED STATES DISTRICT COURT FOR THE**
 2 **NORTHERN DISTRICT OF CALIFORNIA:**

3 **PLEASE TAKE NOTICE THAT** Defendants C. R. Bard, Inc. and Bard Peripheral
 4 Vascular, Inc. (collectively “Bard” or “Defendants”) hereby remove this action from the Superior
 5 Court of the State of California, County of San Francisco, to the United States District Court for the
 6 Northern District of California. Removal is based on 28 U.S.C. §§ 1332, 1441, and 1446.

7 In support of this Notice of Removal, Bard states as follows:

8 **I. PROCEDURAL BACKGROUND AND RELEVANT FACTS**

9 **A. Multidistrict Litigation Proceedings**

10 1. Product liability cases alleging personal injuries from Bard’s line of inferior vena
 11 cava (“IVC”) filters, which are prescription medical devices designed to prevent potentially fatal
 12 blood clots from migrating from patients’ hips and legs to their lungs, have been filed in numerous
 13 federal courts around the country.

14 2. On August 17, 2015, the Judicial Panel on Multidistrict Litigation established MDL
 15 No. 2641, *In re: Bard IVC Filters Products Liability Litigation*, in the District of Arizona to
 16 coordinate all federal products liability litigation involving Bard’s line of IVC filters. *See* Transfer
 17 Order, Aug. 17, 2015, attached as Exhibit “A.” One of the Bard IVC filters that is at issue in MDL
 18 No. 2641 is the Bard Recovery® Filter, which is the device allegedly at issue in this action.

19 3. Bard will identify this action, which involves product liability claims related to a Bard
 20 Recovery® IVC Filter, as a potential “tag-along” to the MDL proceeding.

21 **B. Plaintiff Wayne Ruden’s State Court Action**

22 4. On or about October 7, 2015, Plaintiff Wayne Ruden (“Plaintiff”) commenced this
 23 action in the Superior Court of the State of California for the County San Francisco, entitled *Wayne*
 24 *Ruden v. C. R. Bard, Inc., et al.*, Case No. CGC-15-548341. Pursuant to 28 U.S.C. § 1446(a), Bard
 25 has attached copies of the state court docket, including all process, pleadings, and orders served on it
 26 in the above-referenced action as Exhibit “B” to this Notice of Removal.

27 5. On October 13, 2015, C. R. Bard, Inc.’s agent for service of process received via
 28 uncertified mail a copy of Plaintiff’s Complaint accompanied by a notice and acknowledgement of

1 receipt. C. R. Bard, Inc. timely executed and returned the Notice and Acknowledgement of Receipt
2 on October 28, 2015. Bard Peripheral Vascular, Inc. has not yet been served with the Complaint.

3 6. No further proceedings have been had in the state court action.

4 7. Plaintiff alleges that in or around March 2004, he was implanted with a Bard
5 Recovery® Filter. *See* Ex. B, Compl. ¶ 31. Plaintiff asserts claims against Bard for negligence,
6 strict product liability (failure to warn, design defect, and manufacturing defect), breach of implied
7 warranty of merchantability, negligent misrepresentation, and negligent failure to recall/retrofit;
8 Plaintiff is also seeking punitive damages. *Id.* at ¶¶ 43-53, ¶¶ 63-118, and ¶¶ 127-143.

9 8. Plaintiff also asserts claims against Defendant California Pacific Medical Center
10 (“CPMC”) for medical negligence and breach of fiduciary duty. *Id.* at ¶¶ 54-62 and ¶¶ 119-126.
11 Notably, Plaintiff’s claims against CPMC arise not from CPMC’s care or treatment of Plaintiff when
12 Plaintiff allegedly received his Bard Recovery® Filter in or around 2004, but from CPMC’s alleged
13 inaction in failing to inform Plaintiff about a publically available FDA Public Health Notification
14 (“FDA PHN”) directed to physicians and health care providers on August 9, 2010, more than six
15 years after CPMC’s treatment of Plaintiff.

16 9. This case is removable under 28 U.S.C. § 1441(b) because no defendant who is
17 “properly joined and served” is a citizen of the State of California.

18 10. To the best of Bard’s knowledge, Defendant CPMC has not yet been served with a
19 copy of the Summons and the Complaint. The consent of unserved defendants is not required for
20 successful removal. *See, e.g.,* 28 U.S.C. § 1441(b); *see also Salveson v. Western States Bankcard*
21 *Ass’n.*, 731 F.2d 1423, 1429 (9th Cir.1984) (noting that “a party not served need not be joined” in a
22 petition for removal); *Roberts v. Palmer*, 354 F. Supp. 2d 1041, 1044 (E.D. Mo. 2005) (“It is well
23 recognized that the consent of unserved defendants need not be obtained to effectuate removal.”).

24 11. Even if CPMC has been served, CPMC’s consent to remove is not necessary because
25 CPMC is fraudulently misjoined in this action. *See, e.g., Sutton v. Davol, Inc.*, 251 F.R.D. 500, 505-
26 06 (E.D. Cal. 2008) (consent of fraudulently misjoined party not required for removal); *see also*
27 *United Computer Systems, Inc. v. AT & T Corp.*, 298 F.3d 756, 762 (9th Cir. 2002) (fraudulently
28 joined defendant need not join in removal petition).

II. BARD HAS MET THE PROCEDURAL REQUIREMENTS FOR REMOVAL

12. Pursuant to 28 U.S.C. § 1446(d), a copy of this Notice of Removal is being served on Plaintiff, and a copy is being filed with the Clerk of the Court for the Superior Court of the State of California for the County of San Francisco.

13. This Notice of Removal is properly filed in the Northern District of California pursuant to 28 U.S.C. § 1446(a).

14. The United States District Court for the Northern District of California, San Francisco Division, is the proper district to where this matter should be assigned because it is the District Court embracing the Superior Court of California, County of San Francisco, where Plaintiff's state court action is pending. *See* 28 U.S.C. § 1441(a); 28 U.S.C. § 84(c)(1).

15. C. R. Bard, Inc. received a copy of the Complaint on October 13, 2015. This Notice of Removal is being filed within 30 days of that date; therefore, the Notice is timely pursuant to 28 U.S.C. § 1446 (b).

16. No previous application has been made for the relief requested herein.

17. As discussed at length below, Defendant CPMC is fraudulently misjoined in this action, and, thus, CPMC's consent to removal is not required. *See, e.g., Sutton*, 251 F.R.D. at 505-506; *see also United Computer Systems, Inc.*, 298 F.3d at 762 (fraudulently joined defendant need not join in removal petition).

18. No party in interest properly joined and served as a defendant is a citizen of the State in which this action was brought, California. *See* 28 U.S.C. §1441(b). The Complaint purports to name CPMC, upon information and belief, a California citizen; however, because CPMC is fraudulently misjoined in this lawsuit, its California citizenship is not a barrier to removal jurisdiction. *See* 28 U.S.C. § 1441(c); *see also United Computer Sys. Inc.*, 298 F.3d at 762.

III. REMOVAL IS PROPER BECAUSE THIS COURT HAS SUBJECT MATTER JURISDICTION PURSUANT TO 28 U.S.C. §§ 1332 AND 1441

19. This Court has subject matter jurisdiction pursuant to 28 U.S.C. §1332 because this is a civil action in which the amount in controversy exceeds the sum of \$75,000, exclusive of costs and interest, where the properly joined parties are citizens of different states.

A. The Amount in Controversy Requirement Is Satisfied

20. Bard filed this Notice of Removal in good faith and on a reasonable basis in law and in fact that the requisite amount in controversy is being sought in this action. When the amount in controversy is not specified in the complaint, the court may consider the facts alleged in the complaint as well as in the notice of removal. *See Singer v. State Farm Mut. Auto Ins. Co.*, 116 F.3d 373, 376 (9th Cir. 1997); *Simmons v. PCR Tech.*, 209 F. Supp. 2d 1029, 1031 (N.D. Cal. 2002).

21. To determine the amount in controversy, a district court takes into account claims for general damages, pain and suffering, out-of-pocket loss, emotional distress, and punitive damages. *Richmond v. Allstate Ins. Co.*, 897 F. Supp. 447, 449-50 (S.D. Cal. 1995). Additionally, the “amount in controversy is not measured by the low end of an open-ended claim, but rather by a reasonable reading of the value of the rights being litigated.” *Kenneth Rothschild Trust v. Morgan Stanley Dean Witter*, 199 F. Supp. 2d 993, 1001 (C.D. Cal. 2002) (quoting *Angus v. Shiley Inc.*, 989 F.2d 142, 146 (3d Cir. 1993)).

22. Plaintiff alleges that he has “incurred significant medical expenses and has endured extreme pain and suffering, fear of death, loss of enjoyment of life, and other losses, some of which are permanent in nature.” Ex. B, Compl. ¶ 31. Plaintiff further alleges that as a result of the failure of his Bard Recovery® Filter, he “lives in constant fear that the [Bard Recovery® Filter] will continue to migrate, pierce his heart, and kill him.” *Id.* He also alleges that he “has become impaired and his ability to earn wages has been diminished, and will remain so in the future . . . [and] is required to attend regular physicians’ visits and to undergo imaging studies.” *Id.* Finally, he alleges that he “has suffered permanent and continuing injury, loss of enjoyment of life, pain, suffering, and impairment. Plaintiff has suffered emotional trauma, harm, and injuries. Plaintiff’s ability to carry on the affairs of his daily life has been impacted and diminished, and will continue to diminish in the future.” *Id.* at ¶ 32. As result of his alleged injuries, Plaintiff seeks past and future general damages; past and future economic and special damages; loss of earnings and impaired earning capacity; past and future medical expenses; past and future mental and emotional distress; punitive damages; costs of suit incurred herein; pre-judgment interest; loss of consortium damages; and such other and further relief as the Court may deem just and proper. *See id.* at Prayer for

1 Damages ¶¶ a-d. Plaintiff's punitive damages claim is included when determining the amount in
2 controversy. *Gibson v. Chrysler Corp.*, 261 F.3d 927, 945 (9th Cir. 2001) ("It is well established
3 that punitive damages are part of the amount in controversy in a civil action."); *see also Bell v.*
4 *Preferred Life Assurance Society*, 320 U.S. 238, 240 (1943).

5 23. It is thus facially apparent from the Complaint that Plaintiff claims in excess of
6 \$75,000.00. *See Lockett v. Delta Airlines, Inc.*, 171 F.3d 295, 298 (5th Cir. 1999) (amount in
7 controversy requirement met where plaintiff alleged "damages for property, travel expenses, an
8 emergency ambulance trip, a six day stay in the hospital, pain and suffering, humiliation, and her
9 temporary inability to do housework after the hospitalization" as a result of heart failure allegedly
10 caused by the defendant); *Evans v. CDX Servs., LLC*, 528 F. Supp. 2d 599, 606 (S.D. W. Va. 2007)
11 (denying remand where plaintiffs alleged injury but did not specify damages; "When the Court
12 'considers the additional elements of pain and suffering and future damages, one can easily conclude
13 the amount in controversy is satisfied.'"); *In re Rezulin Prods. Liab. Litig.*, 133 F. Supp. 2d 272, 296
14 (S.D.N.Y. 2001) (concluding that complaint "obviously asserts a claim exceeding \$75,000" where
15 plaintiff sought "compensatory and punitive damages" for alleged serious medical conditions and
16 economic losses due to use of a prescription medication).

17 **B. There is Complete Diversity of Citizenship Between the Plaintiff and the Properly**
18 **Joined Defendants**

19 24. There is complete diversity between Plaintiffs and the properly joined defendants.

20 25. Plaintiff is a resident and citizen of the State of California. *See* Ex. B, Compl. ¶ 3.

21 26. Defendant C. R. Bard, Inc. is a New Jersey corporation with its principal place of
22 business in the State of New Jersey. Therefore, C. R. Bard, Inc. is a citizen of New Jersey. *See* 28
23 U.S.C. § 1332(c).

24 27. Defendant Bard Peripheral Vascular, Inc. is an Arizona corporation with its principal
25 place of business in the State of Arizona. Therefore, Bard Peripheral Vascular, Inc. is a citizen of
26 Arizona. *Id.*

27 28. Upon information and belief, none of the DOE defendants has been substituted with
28 any named defendants or been served with process in the state court action. For purposes of

removal, “the citizenship of defendants sued under fictitious names shall be disregarded.” 28 U.S.C. § 1441(b)(1); *accord Soliman v. Phillip Morris Inc.*, 311 F.3d 966, 971 (9th Cir. 2002); *McCabe v. General Foods Corp.*, 811 F.2d 1336, 1339 (9th Cir. 1987). Therefore, the citizenship of DOES 1 through 100 should be disregarded for purposes of diversity.

C. The California Defendant Is Fraudulently Misjoined, and CPMC’s Presence Will Not Defeat Diversity

29. Upon information and belief, Defendant CPMC, at the time the state court action was commenced and at the time of this Notice, is a citizen of the State of California.

30. CPMC is fraudulently misjoined in this action, and, thus, CPMC’s presence will not defeat diversity. *See Tapscott v. MS Dealer Serv. Corp.*, 77 F.3d 1353 (11th Cir. 1996) (holding diversity of citizenship requirement was satisfied by reason of fraudulent misjoinder doctrine because the alleged transactions involving the non-diverse defendants were wholly distinct from the alleged transactions involving the diverse defendants), *abrogated on other grounds in Cohen v. Office Depot, Inc.*, 204 F.3d 1069 (11th Cir. 2000); *Greene v. Wyeth*, 344 F. Supp. 2d 674, 684-85 (D. Nev. 2004) (“[T]his Court agrees with the Fifth and Eleventh Circuits that the [Tapscott] rule is a logical extension of the established precedent that a plaintiff may not fraudulently join a defendant in order to defeat diversity jurisdiction in federal court.”) (internal citations omitted); *see also Sutton*, 251 F.R.D. at 505 (applying the doctrine of fraudulent misjoinder and holding that the plaintiff’s joinder of a non-diverse, medical malpractice defendant in a product liability case was “improper”).

31. The only claims against CPMC are for medical malpractice and breach of fiduciary duty. *See* Ex. B, Compl. ¶¶ 54-62 and ¶¶ 119-126. Both claims are premised on CPMC’s alleged failure to inform Plaintiff about a publically available FDA Public Health Notification (“FDA PHN”) directed to physicians and health care providers on August 9, 2010. *See id.* at ¶¶ 61, 125.

32. Critically, Plaintiff’s claims against CPMC arise not from CPMC’s treatment and care of Plaintiff when he allegedly received a Bard Recovery® Filter in or around March 2004, but from CPMC’s alleged inaction that occurred more than six years after CPMC’s treatment and care of Plaintiff. Thus, Plaintiff’s claims against CPMC will likely turn, at least in part, on whether

CPMC even owed a duty (either a duty of care or a fiduciary duty) to Plaintiff six years after CPMC treated him.

33. Federal courts have frequently applied the doctrine of fraudulent misjoinder to cases involving claims for medical negligence and products liability. For instance, in *Sutton*, 251 F.R.D. at 503-505, the plaintiff joined product liability claims against the manufacturer of a prescription medical device with a medical negligence claim against his healthcare provider. Specifically, the plaintiff alleged that his healthcare providers had failed to timely act in response to an FDA recall notice, and that as a result, plaintiff was implanted with a recalled device. *Id.* at 502. The court found “compelling” the defendants’ argument that the plaintiff’s product liability claims were so factually distinct from the claims against plaintiff’s healthcare providers for failing to respond to a recall warning as to constitute fraudulent misjoinder. *Id.* at 505. The defendant’s arguments were particularly persuasive in light of the fact that the fraudulent misjoinder deprived the defendants’ of their right to have the product liability claims transferred to multidistrict litigation, where they could be handled in an efficient and consistent matter in a single forum in the MDL. *Id.* at 504. Thus, the court severed the claims against the healthcare providers and remanded them to state court “so as to preserve the removing Defendants’ right to removal in the remaining multidistrict action and to preserve the interests of judicial expediency and justice so that all pre-trial discovery on the products liability case can be coordinated in a single forum.” *Id.* at 505; *see also In re Guidant Corp., Implantable Defibrillators Prods. Liab. Litig.*, MDL No. 05-1708, Case No. 07-1129, 2007 WL 5377783, *7 (D. Minn. Jun. 4, 2007) (severing and remanding only the claims against defendant hospital because “the basis for the causes of action against [the hospital] do not arise from the same transaction and occurrences as those in the causes of action against the [medical device manufactures]; *Greene*, 344 F. Supp. 2d at 684-85 (remanding medical malpractice claims against non-diverse doctor while retaining jurisdiction over product liability claims); *In re Rezulin Prod. Liab. Litig.*, MDL No. 1348, 2003 WL 21276425, at *1-2 (S.D.N.Y. June 2, 2003) (finding claims against non-diverse physician were misjoined with claims against drug manufacturer); *Stone v. Zimmer, Inc.*, No. 09-08202-CIV, 2009 WL 1809990, at *4 (S.D. Fla. June 25, 2009) (“The joinder of the malpractice claim against [the doctor] and the [pain management center] with the product

liability claim against [the product manufacturer] is thus inappropriate because these claims do not both involve common questions of law or fact and do not assert joint, several or alternative liability arising out of the same transaction, occurrence or series of transactions or occurrences.”) (internal quotation omitted).

34. Like the plaintiff’s claims in *Sutton*, Plaintiff’s claims against CPMC do not arise out of the same transaction or occurrence as the products liability claims brought against Bard. Instead, the claims against CPMC are legally and factually distinct from the claims against Bard. The products liability claims against Bard are based on the “development, testing, assembling, manufacture, packaging, labeling, preparing, distribution, marketing, supplying, and/or selling” of the Bard Recovery® Filter. Ex. B, Compl. ¶ 1. By contrast, the medical malpractice and breach of fiduciary duty claims against CPMC are based on whether CPMC owed a duty to Plaintiff six years after it provided its treatment and care for Plaintiff, and whether CPMC’s breached the duty of care and/or its fiduciary duty to Plaintiff by allegedly failing to inform Plaintiff about a publically available FDA Public Health Notification directed to physicians and health care providers on August 9, 2010. *See Burgess v. Superior Court*, 2 Cal. 4th 1064, 1077 (1992) (stating the elements of a medical malpractice claim); *Jameson v. Desta*, 215 Cal. App. 4th 1144, 1164 (2013) (stating the elements of a breach of fiduciary duty claim against a physician). The crucial aspects of the claims against Bard, on the one hand, and against CPMC, on the other hand, are legally and factually separate and distinct such that they do not arise from the same transaction or occurrence. *See Sutton*, 251 F.R.D. at 505 (“Plaintiffs’ claims based on strict products liability against the removing Defendants are separate from Plaintiffs’ claims of medical malpractice against the California Defendants in implanting a previously recalled patch in Plaintiff . . . [the] claims against the California Defendant are not based on the allegedly negligent testing and manufacture of the Patch and cannot be under California law.”).

D. Alternatively, Plaintiff’s Claims Against CPMC Should Be Severed Pursuant to Rule 21 Because it Is Not A Necessary and Indispensable Party

35. In the alternative, even if CPMC was not fraudulently misjoined, this Court should sever and remand Plaintiff’s medical malpractice and breach of fiduciary duty claims against CPMC

1 pursuant to Federal Rule of Civil Procedure 21 because it is not a necessary and indispensable party.
2 Under Rule 21, courts may sever claims against non-diverse defendants and thereby perfect diversity
3 jurisdiction. *See Newman-Green, Inc. v. Alfonzo-Larrain*, 490 U.S. 826, 832 (1989) (“[I]t is well
4 settled that Rule 21 invests district courts with authority to allow a dispensable party to be dropped
5 at any time”).

6 36. Courts have previously used Rule 21 to perfect diversity jurisdiction, including in
7 product liability actions where an MDL has been formed against a pharmaceutical or medical device
8 company and the plaintiff joins medical malpractice claims against a non-diverse physician. Indeed,
9 that is precisely what the Eastern District of California did in *Sutton v. Davol, Inc.*,¹ where the
10 plaintiff attempted to join non-diverse California health care providers in a product liability action
11 against two medical device companies. *See Sutton*, 251 F.R.D. at 501. Finding that the interests of
12 judicial expediency and justice favored removal so that the case could be part of an MDL, the court
13 severed the medical malpractice claims against the non-diverse California defendants and remanded
14 those claims to state court. *See Sutton*, 251 F.R.D. at 505 (severing and remanding the plaintiff’s
15 claims against a non-diverse California defendant pursuant to Rule 21 “so as to preserve the
16 removing Defendants’ right to removal in the remaining multidistrict action and to preserve the
17 interests of judicial expediency and justice so that all pre-trial discovery on the products liability
18 case can be coordinated in a single forum”); *see also Greene*, 344 F. Supp. 2d at 683-84 (severing
19 and remanding claims because manufacturing and marketing of drug and alleged wrongdoing of
20 prescribing physician were dissimilar acts); *Sullivan v. Calvert Mem. Hosp.*, -- F. Supp. 3d --, 2015
21 WL 4614467, at *3 (D. Md. July 30, 2015) (severing medical malpractice claims against non-diverse
22 doctors to retain jurisdiction over product liability claims against medical device manufacturer
23 before transfer to the MDL, noting that although the two sets of claims “may involve the same
24 physical object that is the source of the products liability claims against the Ethicon Defendants, the
25 medical negligence claims against the Maryland Healthcare Defendants involve legal standards and
26 factual inquiries distinctly different from the products liability claims”); *Mayfield v. London*
27 *Women’s Care, PLLC*, No. 15-19-DLB, 2015 WL 3440492, at *4 (E.D. Ky. May 28, 2015) (same,

28 ¹ *Davol, Inc.* is a subsidiary of C. R. Bard, Inc.

1 noting that the medical malpractice claim “is highly distinct from the various claims brought . . . for
2 products liability”); *Akin v. Stryker Corp. et al.*, Case No. 13-cv-01811(DWF/FLN), 2013 WL
3 6511855, at *3-5 (D. Minn. Dec. 12, 2013) (severing and remanding claims against non-diverse
4 medical provider defendants from claims against manufacturer defendants, even though the
5 misjoinder was not “egregious,” because a finding of bad faith is not required to find that non-
6 diverse defendants have been fraudulently misjoined); *Cooke-Bates v. Bayer Corp.*, No. 3:10-CV-
7 261, 2010 WL 3984830, at *4-5 (E.D. Va. Oct. 8, 2010) (severing medical malpractice claims
8 against non-diverse doctors to retain jurisdiction over product liability claims against medical device
9 manufacturer before transfer to the MDL); *Joseph v. Baxter International, Inc.*, 614 F. Supp. 2d 868,
10 873 (N.D. Ohio 2009) (concluding that severance under Rule 21 was appropriate because “the
11 plaintiffs will benefit from the MDL process: they will not bear the burden of having to engage on
12 their own, and at their sole expense, in discovery vis-a-vis Baxter,” and “the inconvenience and
13 potential prejudice to Baxter if I remand substantially outweigh the inconvenience and possible
14 prejudice to the plaintiffs from remaining before me.”).

15 37. Likewise, here, CPMC is not an indispensable party. The claims against CPMC deal
16 with its alleged failure to provide appropriate information to Plaintiff six years after Plaintiff
17 received his Bard IVC filter, whereas Plaintiff’s product liability claims against Bard deal with
18 entirely different issues of the design, manufacture, and labeling the Recovery® Filter. Moreover,
19 transfer of Plaintiff’s claims against Bard to the Bard IVC Filter MDL will advance the convenience
20 and efficiency of the case, eliminate duplicative discovery, prevent inconsistent pre-trial rulings, and
21 conserve the resources of the judiciary, the parties, and their counsel. Accordingly, even if the Court
22 finds that CPMC is not fraudulently misjoined, the Court should sever and remand the claims against
23 CPMC to perfect diversity jurisdiction over Bard.

24 38. By removing this action to this Court, Bard does not waive any defenses, objections
25 or motions available to them under state or federal law.

26 39. Bard reserves the right to amend or supplement this Notice of Removal.

27 40. Bard requests a trial by jury on all issues so triable.

28

1 WHEREFORE, Bard prays that this action be removed from the Superior Court of the State
2 of California for the County of San Francisco to the United States District Court for the Northern
3 District of California.

4
5 DATED: November 12, 2015

6 REED SMITH LLP

7
8 By: /s/ Steven J. Boranian

9 Steven J. Boranian

10 Mark A. Sentenac

11 Attorneys for Defendants C. R. Bard, Inc. and
12 Bard Peripheral Vascular, Inc.

REED SMITH LLP
A limited liability partnership formed in the State of Delaware

EXHIBIT A

UNITED STATES JUDICIAL PANEL
on
MULTIDISTRICT LITIGATION

**IN RE: BARD IVC FILTERS PRODUCTS
LIABILITY LITIGATION**

MDL No. 2641

TRANSFER ORDER

Before the Panel:* Plaintiff in an action in the Eastern District of Pennsylvania (*Ebert*) moves under 28 U.S.C. § 1407 to centralize pretrial proceedings in the Northern District of Texas or the District of Nevada. The litigation consists of 22 actions listed on Schedule A.¹

Plaintiffs in fifteen actions and five potential tag-along actions support the motion and, plaintiffs in six of these actions alternatively suggest centralization in the Middle District of Florida. Defendants C.R. Bard, Inc. and Bard Peripheral Vascular, Inc. (BPV and together, Bard) oppose centralization or, alternatively, suggest centralization in the District of Arizona or the Middle District of Florida.

After considering the argument of counsel, we find that the actions in this litigation involve common questions of fact, and that centralization in the District of Arizona will serve the convenience of the parties and witnesses and promote the just and efficient conduct of the litigation. All actions involve common factual questions arising from allegations that defects in the design of Bard's retrievable inferior vena cava filters ("IVC filters") make them more likely to fracture, migrate, tilt, or perforate the inferior vena cava, causing injury. Centralization will eliminate duplicative discovery, avoid inconsistent pretrial rulings (including with respect to discovery, privilege, and *Daubert* motion practice), and conserve the resources of the parties, their counsel and the judiciary.

In opposing centralization, Bard does not dispute that these actions share questions of fact or that discovery will overlap. Rather, Bard argues, *inter alia*, that (1) the common discovery left to be completed is individual in nature, (2) continued informal coordination among the limited number of counsel is a better solution than formal centralization, and (3) the status of these cases counsels against centralization. The parties dispute the status of discovery, with responding plaintiffs arguing, for example, that Bard has refused to produce updated discovery since its initial productions to previous plaintiffs, while Bard argues that plaintiffs have not sought additional

* Judge Sarah S. Vance took no part in the decision of this matter.

¹ The Panel has been informed of sixteen additional related federal actions pending in thirteen district courts. Those actions and any other related federal actions are potential tag-along actions. See Panel Rules 1.1(h), 7.1, and 7.2.

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discovery in two years. At oral argument, plaintiffs argued that they will seek to reopen discovery in all cases to seek information relating to a recent warning letter issued to Bard by the Food and Drug Administration (FDA), while Bard argued that the letter is largely irrelevant to the pending actions and that it will produce its communications with the FDA. While acknowledging that there has been some duplication in pretrial motions practice, Bard argues that this is because plaintiffs insist on pressing the same privilege issue unsuccessfully in various courts. Centralization will streamline these discovery disputes, allow the parties to brief plaintiffs' request for additional discovery once, and result in one ruling on the contested privilege issue. Even if plaintiffs' counsel are successfully coordinating their discovery efforts and scheduling, re-litigation of the same issues in different courts significantly impacts the parties and the judiciary.

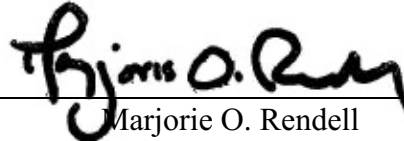
Several of the pending cases have completed discovery and some are near trial. Given the ongoing overlapping discovery disputes, we find that centralization still would promote efficiencies. While it may be that some cases are too advanced to substantially benefit from inclusion in centralized proceedings, the parties have not specifically identified any that should be excluded. The Panel has held that the transferee court is in the best position to identify claims that should be excluded from an MDL. *See In re: Nat'l Football League Players' Concussion Injury Litig.*, 842 F. Supp. 2d 1378, 1379 (J.P.M.L. 2012) ("[W]e are persuaded that the transferee judge is in the best position to determine whether those claims are sufficiently related to the NFL claims to remain in centralized proceedings. If the transferee judge determines after close scrutiny that remand of any claims is appropriate, procedures are available whereby this may be accomplished with a minimum of delay.").

We are persuaded that the District of Arizona is an appropriate transferee district for this litigation. Defendant BPV—the Bard entity responsible for the design, testing, marketing, labeling, and post-market surveillance of Bard's IVC filters—is headquartered in this district and, therefore, documents and witnesses will be found there. The District of Arizona is not burdened by many MDLs and has the capacity and resources to successfully guide this litigation. Judge David G. Campbell, who sits in this district, is an experienced transferee judge who can prudently steer the litigation. Though a related action is not currently pending in the District of Arizona, we have found that is not a bar to centralization in a particular district. *See, e.g., In re: New Motor Vehicles Canadian Exp. Antitrust Litig.*, 269 F. Supp. 2d 1372, 1373 (J.P.M.L. 2003) (centralizing six actions in the District of Maine though no constituent action was pending in that district).

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IT IS THEREFORE ORDERED that the actions listed on Schedule A are transferred to the District of Arizona, and, with the consent of that court, assigned to the Honorable David G. Campbell for coordinated or consolidated pretrial proceedings.

PANEL ON MULTIDISTRICT LITIGATION

A handwritten signature in black ink, appearing to read "Marjorie O. Rendell", is written over a horizontal line.

Marjorie O. Rendell
Acting Chair

Charles R. Breyer
Ellen Segal Huvelle
Catherine D. Perry

Lewis A. Kaplan
R. David Proctor

**IN RE: BARD IVC FILTERS PRODUCTS
LIABILITY LITIGATION**

MDL No. 2641

SCHEDULE A

Central District of California

SIZEMORE v. C.R. BARD, INC., ET AL., C.A. No. 2:15-01945

Middle District of Florida

TILLMAN v. C.R. BARD, INC., ET AL., C.A. No. 3:13-00222

WYATT v. C.R. BARD, INC., ET AL., C.A. No. 6:14-01853

OCASIO, ET AL. v. C.R. BARD, INC., ET AL., C.A. No. 8:13-01962

Middle District of Georgia

MILTON v. C.R. BARD, INC., ET AL., C.A. No. 5:14-00351

Northern District of Illinois

JACKSON, ET AL. v. C.R. BARD, INC., ET AL., C.A. No. 1:14-04080

Eastern District of Michigan

MCCLARTY, ET AL. v. C.R. BARD, INC., ET AL., C.A. No. 4:14-13627

Northern District of Mississippi

MUNSON v. C.R. BARD, INC., ET AL., C.A. No. 3:14-00279

Western District of Missouri

LEUS v. C.R. BARD, INC., ET AL., C.A. No. 4:13-00585

District of Nebraska

KRUSE v. C.R. BARD, INC., ET AL., C.A. No. 8:15-00108

District of New Mexico

ROWE v. C.R. BARD, INC., ET AL., C.A. No. 1:15-00173

- A2 -

MDL No. 2641 Schedule A (Continued)

Western District of New York

MERRITT v. C.R. BARD, INC., ET AL., C.A. No. 1:14-00917

Northern District of Ohio

ROEDER v. C.R. BARD, INC., C.A. No. 3:15-00858

Eastern District of Pennsylvania

WETZEL v. C.R. BARD, INC., ET AL., C.A. No. 2:14-02729

EBERT v. C.R. BARD, INC., ET AL., C.A. No. 5:12-01253

KEEN v. C.R. BARD, INC., ET AL., C.A. No. 5:13-05361

Middle District of Tennessee

MILLER v. C.R. BARD, INC., ET AL., C.A. No. 3:15-00533

Northern District of Texas

BRANCH v. C.R. BARD, INC., ET AL., C.A. No. 3:15-01131

Southern District of Texas

CORONADO v. C.R. BARD, INC., C.A. No. 2:15-00205

CONN, ET AL. v. C.R. BARD, INC., ET AL., C.A. No. 4:14-00298

Eastern District of Wisconsin

ANDERSON v. BARD PERIPHERAL VASCULAR, INC., ET AL., C.A. No. 1:15-00574

HENLEY, ET AL. v. C.R. BARD, INC., ET AL., C.A. No. 2:14-00059

EXHIBIT B

POS-015

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): David Ongaro (SBN 154698), Glen Turner (SBN 212417) ONGARO PC 50 California Street, Suite 3325 San Francisco, CA 94111 TELEPHONE NO.: 415-433-3900 FAX NO. (Optional): 415-433-3950 E-MAIL ADDRESS (Optional): gturner@ongaropc.com ATTORNEY FOR (Name): Plaintiff Wayne Ruden		FOR COURT USE ONLY
SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco STREET ADDRESS: 400 McAllister Street MAILING ADDRESS: CITY AND ZIP CODE: San Francisco 94102-4515 BRANCH NAME: Civic Center Courthouse		
PLAINTIFF/PETITIONER: Wayne Ruden DEFENDANT/RESPONDENT: C.R. Bard., Inc., et al.		
NOTICE AND ACKNOWLEDGMENT OF RECEIPT—CIVIL		CASE NUMBER: CGC-15-548341

TO (insert name of party being served): C.R. Bard, Inc.

NOTICE

The summons and other documents identified below are being served pursuant to section 415.30 of the California Code of Civil Procedure. Your failure to complete this form and return it within 20 days from the date of mailing shown below may subject you (or the party on whose behalf you are being served) to liability for the payment of any expenses incurred in serving a summons on you in any other manner permitted by law.

If you are being served on behalf of a corporation, an unincorporated association (including a partnership), or other entity, this form must be signed by you in the name of such entity or by a person authorized to receive service of process on behalf of such entity. In all other cases, this form must be signed by you personally or by a person authorized by you to acknowledge receipt of summons. If you return this form to the sender, service of a summons is deemed complete on the day you sign the acknowledgment of receipt below.

Date of mailing: October 8, 2015

Derrick Payne

(TYPE OR PRINT NAME)



(SIGNATURE OF SENDER—MUST NOT BE A PARTY IN THIS CASE)

ACKNOWLEDGMENT OF RECEIPT

This acknowledges receipt of (to be completed by sender before mailing):

- ☒ A copy of the summons and of the complaint.
- ☒ Other (specify):

Civil Case Coversheet, Notice of Case Management Conference on Mar-09-2016, Alternative Dispute Resolution Program Information Packet

(To be completed by recipient):

Date this form is signed:

(TYPE OR PRINT YOUR NAME AND NAME OF ENTITY, IF ANY,
ON WHOSE BEHALF THIS FORM IS SIGNED)(SIGNATURE OF PERSON ACKNOWLEDGING RECEIPT, WITH TITLE IF
ACKNOWLEDGMENT IS MADE ON BEHALF OF ANOTHER PERSON OR ENTITY)

POS-015

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): David Ongaro (SBN 154698), Glen Turner (SBN 212417) ONGARO PC 50 California Street, Suite 3325 San Francisco, CA 94111 TELEPHONE NO.: 415-433-3900 FAX NO. (Optional): 415-433-3950 E-MAIL ADDRESS (Optional): gturner@ongaropc.com ATTORNEY FOR (Name): Plaintiff Wayne Ruden	FOR COURT USE ONLY
SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco STREET ADDRESS: 400 McAllister Street MAILING ADDRESS: CITY AND ZIP CODE: San Francisco 94102-4515 BRANCH NAME: Civic Center Courthouse	
PLAINTIFF/PETITIONER: Wayne Ruden DEFENDANT/RESPONDENT: C.R. Bard., Inc., et al.	
NOTICE AND ACKNOWLEDGMENT OF RECEIPT—CIVIL	CASE NUMBER: CGC-15-548341

TO (insert name of party being served): C.R. Bard, Inc.**NOTICE**

The summons and other documents identified below are being served pursuant to section 415.30 of the California Code of Civil Procedure. Your failure to complete this form and return it within 20 days from the date of mailing shown below may subject you (or the party on whose behalf you are being served) to liability for the payment of any expenses incurred in serving a summons on you in any other manner permitted by law.

If you are being served on behalf of a corporation, an unincorporated association (including a partnership), or other entity, this form must be signed by you in the name of such entity or by a person authorized to receive service of process on behalf of such entity. In all other cases, this form must be signed by you personally or by a person authorized by you to acknowledge receipt of summons. If you return this form to the sender, service of a summons is deemed complete on the day you sign the acknowledgment of receipt below.

Date of mailing: October 8, 2015

Derrick Payne

(TYPE OR PRINT NAME)



(SIGNATURE OF SENDER—MUST NOT BE A PARTY IN THIS CASE)

ACKNOWLEDGMENT OF RECEIPTThis acknowledges receipt of **(to be completed by sender before mailing):**

- ☒ A copy of the summons and of the complaint.
- ☒ Other (specify):

Civil Case Coversheet, Notice of Case Management Conference on Mar-09-2016, Alternative Dispute Resolution Program Information Packet

(To be completed by recipient):

Date this form is signed:

(TYPE OR PRINT YOUR NAME AND NAME OF ENTITY, IF ANY,
ON WHOSE BEHALF THIS FORM IS SIGNED)(SIGNATURE OF PERSON ACKNOWLEDGING RECEIPT, WITH TITLE IF
ACKNOWLEDGMENT IS MADE ON BEHALF OF ANOTHER PERSON OR ENTITY)

SUM-100

SUMMONS (CITACION JUDICIAL)

FOR COURT USE ONLY
(SOLO PARA USO DE LA CORTE)

NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):

C.R. BARD, INC., a New Jersey corporation
(See Attached Defendants List)

YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):

WAYNE RUDEN

NOTICE! You have been sued. The court may decide against you without your being heard unless you respond within 30 days. Read the information below.

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association. **NOTE:** The court has a statutory lien for waived fees and costs on any settlement or arbitration award of \$10,000 or more in a civil case. The court's lien must be paid before the court will dismiss the case. **¡AVISO!** Lo han demandado. Si no responde dentro de 30 días, la corte puede decidir en su contra sin escuchar su versión. Lea la información a continuación.

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California (www.sucorte.ca.gov), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.sucorte.ca.gov) o poniéndose en contacto con la corte o el colegio de abogados locales. **AVISO:** Por ley, la corte tiene derecho a reclamar las cuotas y los costos exentos por imponer un gravamen sobre cualquier recuperación de \$10,000 o más de valor recibida mediante un acuerdo o una concesión de arbitraje en un caso de derecho civil. Tiene que pagar el gravamen de la corte antes de que la corte pueda desechar el caso.

The name and address of the court is:

(El nombre y dirección de la corte es): Superior Court of California

County of San Francisco

400 McAllister Street, San Francisco, CA 94102-4515

The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attorney, is:

(El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es):

David Ongaro, ONGARO PC, 50 California Street, Suite 3325, San Francisco, CA 94111, 415-433-3900

CASE NUMBER:
(Número del Caso):

CGC-15-548341

DATE: ,
(Fecha)

OCT - 7 2015

Clerk, by **CLERK OF THE COURT**
(Secretario)

Deputy
(Adjunto)

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).)

(Para prueba de entrega de esta citación use el formulario Proof of Service of Summons, (POS-010)).

Bonnie Orent

NOTICE TO THE PERSON SERVED: You are served

1. ☐ as an individual defendant
2. ☐ as the person sued under the fictitious name of (specify):
3. ☒ on behalf of (specify): C.R. BARD, INC., a New Jersey corporation
under: ☒ CCP 416.10 (corporation) ☐ CCP 416.60 (minor)
☐ CCP 416.20 (defunct corporation) ☐ CCP 416.70 (conservatee)
☐ CCP 416.40 (association or partnership) ☐ CCP 416.90 (authorized person)
☐ other (specify):
4. ☐ by personal delivery on (date):

COPY

SUM-200(A)

SHORT TITLE: Wayne Ruden v. C.R. Bard, Inc., et al.	CASE NUMBER: CGC-15-548341
---	--------------------------------------

INSTRUCTIONS FOR USE

- ➔ This form may be used as an attachment to any summons if space does not permit the listing of all parties on the summons.
- ➔ If this attachment is used, insert the following statement in the plaintiff or defendant box on the summons: "Additional Parties Attachment form is attached."

List additional parties (Check only one box. Use a separate page for each type of party.):

☐ Plaintiff
 ☒ Defendant
 ☐ Cross-Complainant
 ☐ Cross-Defendant

C.R. BARD, INC., a New Jersey corporation;
 BARD PERIPHERAL VASCULAR, INC., (a subsidiary and/or division of defendant C.R. BARD, INC.) an
 Arizona corporation;
 CALIFORNIA PACIFIC MEDICAL CENTER;
 and DOES 1-100 INCLUSIVE,

POS-010

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): David Ongaro (SBN 154698), Glen Turner (SBN 212417) ONGARO PC 50 California Street, Suite 3325 TELEPHONE NO.: 415-433-3900 FAX NO. (Optional): 415-433-3950 E-MAIL ADDRESS (Optional): gturner@ongaropc.com ATTORNEY FOR (Name): Plaintiff Wayne Ruden		FOR COURT USE ONLY
SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco STREET ADDRESS: 400 McAllister Street MAILING ADDRESS: CITY AND ZIP CODE: San Francisco 94102-4515 BRANCH NAME: Civic Center Courthouse		
PLAINTIFF/PETITIONER: Wayne Ruden DEFENDANT/RESPONDENT: C.R. Bard, Inc., et al.		CASE NUMBER: CGC-15-548341
PROOF OF SERVICE OF SUMMONS		Ref. No. or File No.:

(Separate proof of service is required for each party served.)

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of:
 - a. ☒ summons
 - b. ☒ complaint
 - c. ☒ Alternative Dispute Resolution (ADR) package
 - d. ☒ Civil Case Cover Sheet (served in complex cases only)
 - e. ☐ cross-complaint
 - f. ☐ other (specify documents):
3. a. Party served (specify name of party as shown on documents served):
C.R. Bard, Inc.

b. ☐ Person (other than the party in item 3a) served on behalf of an entity or as an authorized agent (and not a person under item 5b on whom substituted service was made) (specify name and relationship to the party named in item 3a):
4. Address where the party was served:
818 West 7th Street, Suite 930, Los Angeles, CA 90017
5. I served the party (check proper box)
 - a. ☐ **by personal service.** I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on (date): (2) at (time):
 - b. ☐ **by substituted service.** On (date): at (time): I left the documents listed in item 2 with or in the presence of (name and title or relationship to person indicated in item 3):
 - (1) ☐ **(business)** a person at least 18 years of age apparently in charge at the office or usual place of business of the person to be served. I informed him or her of the general nature of the papers.
 - (2) ☐ **(home)** a competent member of the household (at least 18 years of age) at the dwelling house or usual place of abode of the party. I informed him or her of the general nature of the papers.
 - (3) ☐ **(physical address unknown)** a person at least 18 years of age apparently in charge at the usual mailing address of the person to be served, other than a United States Postal Service post office box. I informed him or her of the general nature of the papers.
 - (4) ☐ I thereafter mailed (by first-class, postage prepaid) copies of the documents to the person to be served at the place where the copies were left (Code Civ. Proc., § 415.20). I mailed the documents on (date): from (city): or ☐ a declaration of mailing is attached.
 - (5) ☐ I attach a **declaration of diligence** stating actions taken first to attempt personal service.

Page 1 of 2

PLAINTIFF/PETITIONER: Wayne Ruden	CASE NUMBER:
DEFENDANT/RESPONDENT: C.R. Bard, Inc., et al.	CGC-15-548341

5. c. ☒ **by mail and acknowledgment of receipt of service.** I mailed the documents listed in item 2 to the party, to the address shown in item 4, by first-class mail, postage prepaid,
- (1) on (date): October 8, 2015 (2) from (city): San Francisco
- (3) ☒ with two copies of the *Notice and Acknowledgment of Receipt* and a postage-paid return envelope addressed to me. (*Attach completed Notice and Acknowledgement of Receipt.*) (Code Civ. Proc., § 415.30.)
- (4) ☐ to an address outside California with return receipt requested. (Code Civ. Proc., § 415.40.)
- d. ☐ **by other means** (*specify means of service and authorizing code section*):

☐ Additional page describing service is attached.

6. The "Notice to the Person Served" (on the summons) was completed as follows:

- a. ☐ as an individual defendant.
- b. ☐ as the person sued under the fictitious name of (*specify*):
- c. ☐ as occupant.
- d. ☒ On behalf of (*specify*): C.R. Bard, Inc.

under the following Code of Civil Procedure section:

- | | |
|---|---|
| <input checked="" type="checkbox"/> 416.10 (corporation) | <input type="checkbox"/> 415.95 (business organization, form unknown) |
| <input type="checkbox"/> 416.20 (defunct corporation) | <input type="checkbox"/> 416.60 (minor) |
| <input type="checkbox"/> 416.30 (joint stock company/association) | <input type="checkbox"/> 416.70 (ward or conservatee) |
| <input type="checkbox"/> 416.40 (association or partnership) | <input type="checkbox"/> 416.90 (authorized person) |
| <input type="checkbox"/> 416.50 (public entity) | <input type="checkbox"/> 415.46 (occupant) |
| | <input type="checkbox"/> other: |

7. **Person who served papers**

- a. Name: Derrick Payne
- b. Address: 50 California Street, Suite 3325, San Francisco, CA 94111
- c. Telephone number: 415-433-3900
- d. The fee for service was: \$ 0
- e. I am:

- (1) ☒ not a registered California process server.
- (2) ☐ exempt from registration under Business and Professions Code section 22350(b).
- (3) ☐ a registered California process server:
- (i) ☐ owner ☐ employee ☐ independent contractor.
- (ii) Registration No.:
- (iii) County:

8. ☒ I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

or

9. ☐ I am a California sheriff or marshal and I certify that the foregoing is true and correct.

Date: October 8, 2015

Derrick Payne

(NAME OF PERSON WHO SERVED PAPERS/SHERIFF OR MARSHAL)



(SIGNATURE)

POS-030

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): David Ongaro (SBN 154698), Glen Turner (SBN 212417) ONGARO PC 50 California Street, Suite 3325 San Francisco, CA 94111 TELEPHONE NO.: 415-433-3900 FAX NO. (Optional): 415-433-3950 E-MAIL ADDRESS (Optional): gturner@ongaropc.com ATTORNEY FOR (Name): Plaintiff Wayne Ruden		FOR COURT USE ONLY
SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco STREET ADDRESS: 400 McAllister Street MAILING ADDRESS: CITY AND ZIP CODE: San Francisco 94102-4515 BRANCH NAME: Civic Center Courthouse		
PETITIONER/PLAINTIFF: Wayne Ruden RESPONDENT/DEFENDANT: C.R. Bard, Inc., et al.		
PROOF OF SERVICE BY FIRST-CLASS MAIL—CIVIL		
		CASE NUMBER: CGC-15-548341

(Do not use this Proof of Service to show service of a Summons and Complaint.)

1. I am over 18 years of age and **not a party to this action**. I am a resident of or employed in the county where the mailing took place.
2. My residence or business address is:
50 California Street, Suite 3325
San Francisco, CA 94111
3. On (date): October 8, 2015 I mailed from (city and state): San Francisco, CA
the following **documents** (specify):
Summons, Complaint, Notice of Case Management Conference on Mar-09-2016, Alternative Dispute
Resolution Program Information Packet, Notice and Acknowledgment of Receipt - Civil

☐ The documents are listed in the *Attachment to Proof of Service by First-Class Mail—Civil (Documents Served)* (form POS-030(D)).

4. I served the documents by enclosing them in an envelope and (check one):
 - a. ☒ **depositing** the sealed envelope with the United States Postal Service with the postage fully prepaid.
 - b. ☐ **placing** the envelope for collection and mailing following our ordinary business practices. I am readily familiar with this business's practice for collecting and processing correspondence for mailing. On the same day that correspondence is placed for collection and mailing, it is deposited in the ordinary course of business with the United States Postal Service in a sealed envelope with postage fully prepaid.
5. The envelope was addressed and mailed as follows:
 - a. **Name** of person served: CT Corporation System
 - b. **Address** of person served:
C.R. Bard, Inc.
818 West 7th Street, Suite 930
Los Angeles, CA 90017

☐ The name and address of each person to whom I mailed the documents is listed in the *Attachment to Proof of Service by First-Class Mail—Civil (Persons Served)* (POS-030(P)).

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: October 8, 2015

Derrick Payne

(TYPE OR PRINT NAME OF PERSON COMPLETING THIS FORM)



(SIGNATURE OF PERSON COMPLETING THIS FORM)

NOTICE TO PLAINTIFF

A Case Management Conference is set for:

DATE: MAR-09-2016

TIME: 10:30AM

**PLACE: Department 610
400 McAllister Street
San Francisco, CA 94102-3680**

All parties must appear and comply with Local Rule 3.

CRC 3.725 requires the filing and service of a case management statement form CM-110 no later than 15 days before the case management conference. However, it would facilitate the issuance of a case management order **without an appearance** at the case management conference if the case management statement is filed, served and lodged in Department 610 twenty-five (25) days before the case management conference.

Plaintiff must serve a copy of this notice upon each party to this action with the summons and complaint. Proof of service subsequently filed with this court shall so state. **This case is eligible for electronic filing and service per Local Rule 2.10. For more information, please visit the Court's website at www.sfsuperiorcourt.org under Online Services.**

ALTERNATIVE DISPUTE RESOLUTION POLICY REQUIREMENTS

**IT IS THE POLICY OF THE SUPERIOR COURT THAT EVERY CIVIL CASE PARTICIPATE IN EITHER MEDIATION, JUDICIAL OR NON-JUDICIAL ARBITRATION, THE EARLY SETTLEMENT PROGRAM OR SOME SUITABLE FORM OF ALTERNATIVE DISPUTE RESOLUTION PRIOR TO A TRIAL.
(SEE LOCAL RULE 4)**

Plaintiff must serve a copy of the Alternative Dispute Resolution Information Package on each defendant along with the complaint. All counsel must discuss ADR with clients and opposing counsel and provide clients with a copy of the Alternative Dispute Resolution Information Package prior to filing the Case Management Statement.

[DEFENDANTS: Attending the Case Management Conference does not take the place of filing a written response to the complaint. You must file a written response with the court within the time limit required by law. See Summons.]

Superior Court Alternative Dispute Resolution Coordinator
400 McAllister Street, Room 103
San Francisco, CA 94102
(415) 551-3869

See Local Rules 3.3, 6.0 C and 10 B re stipulation to judge pro tem.

CM-010

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): David Ongaro (SBN 154698) Glen Turner (SBN 212417) Ongaro PC 50 California St., Ste. 3325, San Francisco, CA 94111 TELEPHONE NO.: 415-433-3900 FAX NO.: 415-433-3950 ATTORNEY FOR (Name): Plaintiff Wayne Ruden	ENDORSED FILED San Francisco County Superior Court OCT 07 2015 CLERK OF THE COURT BY: <u>DEBORAH STEFFE</u> Deputy Clerk
SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Francisco STREET ADDRESS: 400 McAllister Street MAILING ADDRESS: CITY AND ZIP CODE: San Francisco, California 94102-4515 BRANCH NAME: Civic Center Courthouse	CASE NUMBER: CGC-15-548341 JUDGE: DEPT:
CASE NAME: Wayne Ruden v. C.R. Bard, Inc., et al.	
CIVIL CASE COVER SHEET <input checked="" type="checkbox"/> Unlimited (Amount demanded exceeds \$25,000) <input type="checkbox"/> Limited (Amount demanded is \$25,000 or less)	Complex Case Designation <input type="checkbox"/> Counter <input type="checkbox"/> Joinder Filed with first appearance by defendant (Cal. Rules of Court, rule 3.402)

Items 1-6 below must be completed (see instructions on page 2).

1. Check one box below for the case type that best describes this case:

Auto Tort <input type="checkbox"/> Auto (22) <input type="checkbox"/> Uninsured motorist (46) Other P/DPD/W (Personal Injury/Property Damage/Wrongful Death) Tort <input type="checkbox"/> Asbestos (04) <input checked="" type="checkbox"/> Product liability (24) <input type="checkbox"/> Medical malpractice (45) <input type="checkbox"/> Other P/DPD/W (23) Non-P/DPD/W (Other) Tort <input type="checkbox"/> Business tort/unfair business practice (07) <input type="checkbox"/> Civil rights (08) <input type="checkbox"/> Defamation (13) <input type="checkbox"/> Fraud (16) <input type="checkbox"/> Intellectual property (19) <input type="checkbox"/> Professional negligence (25) <input type="checkbox"/> Other non-P/DPD/W tort (35) Employment <input type="checkbox"/> Wrongful termination (36) <input type="checkbox"/> Other employment (15)	Contract <input type="checkbox"/> Breach of contract/warranty (06) <input type="checkbox"/> Rule 3.740 collections (09) <input type="checkbox"/> Other collections (09) <input type="checkbox"/> Insurance coverage (18) <input type="checkbox"/> Other contract (37) Real Property <input type="checkbox"/> Eminent domain/inverse condemnation (14) <input type="checkbox"/> Wrongful eviction (33) <input type="checkbox"/> Other real property (26) Unlawful Detainer <input type="checkbox"/> Commercial (31) <input type="checkbox"/> Residential (32) <input type="checkbox"/> Drugs (38) Judicial Review <input type="checkbox"/> Asset forfeiture (05) <input type="checkbox"/> Petition re: arbitration award (11) <input type="checkbox"/> Writ of mandate (02) <input type="checkbox"/> Other judicial review (39)	Provisionally Complex Civil Litigation (Cal. Rules of Court, rules 3.400-3.403) <input type="checkbox"/> Antitrust/Trade regulation (03) <input type="checkbox"/> Construction defect (10) <input type="checkbox"/> Mass tort (40) <input type="checkbox"/> Securities litigation (28) <input type="checkbox"/> Environmental/Toxic tort (30) <input type="checkbox"/> Insurance coverage claims arising from the above listed provisionally complex case types (41) Enforcement of Judgment <input type="checkbox"/> Enforcement of judgment (20) Miscellaneous Civil Complaint <input type="checkbox"/> RICO (27) <input type="checkbox"/> Other complaint (not specified above) (42) Miscellaneous Civil Petition <input type="checkbox"/> Partnership and corporate governance (21) <input type="checkbox"/> Other petition (not specified above) (43)
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2. This case ☐ is ☒ is not complex under rule 3.400 of the California Rules of Court. If the case is complex, mark the factors requiring exceptional judicial management:

a. <input type="checkbox"/> Large number of separately represented parties b. <input type="checkbox"/> Extensive motion practice raising difficult or novel issues that will be time-consuming to resolve c. <input type="checkbox"/> Substantial amount of documentary evidence	d. <input type="checkbox"/> Large number of witnesses e. <input type="checkbox"/> Coordination with related actions pending in one or more courts in other counties, states, or countries, or in a federal court f. <input type="checkbox"/> Substantial postjudgment judicial supervision
--	--

3. Remedies sought (check all that apply): a. ☒ monetary b. ☐ nonmonetary; declaratory or injunctive relief c. ☒ punitive.

4. Number of causes of action (specify): 10

5. This case ☐ is ☒ is not a class action suit.

6. If there are any known related cases, file and serve a notice of related case. (You may use form CM-015.)

Date: October 7, 2015

Glen Turner

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)

NOTICE

- Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of Court, rule 3.220.) Failure to file may result in sanctions.
- File this cover sheet in addition to any cover sheet required by local court rule.
- If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all other parties to the action or proceeding.
- Unless this is a collections case under rule 3.740 or a complex case, this cover sheet will be used for statistical purposes only.

Page 1 of 2

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INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET

To Plaintiffs and Others Filing First Papers. If you are filing a first paper (for example, a complaint) in a civil case, you **must** complete and file, along with your first paper, the *Civil Case Cover Sheet* contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check **one** box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in item 1, check the more specific one. If the case has multiple causes of action, check the box that best indicates the **primary** cause of action. To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party, its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

To Parties in Rule 3.740 Collections Cases. A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, arising from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property, (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3.740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

To Parties in Complex Cases. In complex cases only, parties must also use the *Civil Case Cover Sheet* to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3.400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiff's designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that the case is complex.

CASE TYPES AND EXAMPLES

Auto Tort

Auto (22)—Personal Injury/Property Damage/Wrongful Death
Uninsured Motorist (46) (*if the case involves an uninsured motorist claim subject to arbitration, check this item instead of Auto*)

Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort

Asbestos (04)
Asbestos Property Damage
Asbestos Personal Injury/Wrongful Death
Product Liability (*not asbestos or toxic/environmental*) (24)
Medical Malpractice (45)
Medical Malpractice—Physicians & Surgeons
Other Professional Health Care Malpractice
Other PI/PD/WD (23)
Premises Liability (e.g., slip and fall)
Intentional Bodily Injury/PD/WD (e.g., assault, vandalism)
Intentional Infliction of Emotional Distress
Negligent Infliction of Emotional Distress
Other PI/PD/WD

Non-PI/PD/WD (Other) Tort

Business Tort/Unfair Business Practice (07)
Civil Rights (e.g., discrimination, false arrest) (*not civil harassment*) (08)
Defamation (e.g., slander, libel) (13)
Fraud (16)
Intellectual Property (19)
Professional Negligence (25)
Legal Malpractice
Other Professional Malpractice (*not medical or legal*)
Other Non-PI/PD/WD Tort (35)

Employment

Wrongful Termination (36)
Other Employment (15)

Contract

Breach of Contract/Warranty (06)
Breach of Rental/Lease Contract (*not unlawful detainer or wrongful eviction*)
Contract/Warranty Breach—Seller Plaintiff (*not fraud or negligence*)
Negligent Breach of Contract/Warranty
Other Breach of Contract/Warranty
Collections (e.g., money owed, open book accounts) (09)
Collection Case—Seller Plaintiff
Other Promissory Note/Collections Case
Insurance Coverage (*not provisionally complex*) (18)
Auto Subrogation
Other Coverage
Other Contract (37)
Contractual Fraud
Other Contract Dispute

Real Property

Eminent Domain/Inverse Condemnation (14)
Wrongful Eviction (33)
Other Real Property (e.g., quiet title) (26)
Writ of Possession of Real Property
Mortgage Foreclosure
Quiet Title
Other Real Property (*not eminent domain, landlord/tenant, or foreclosure*)

Unlawful Detainer

Commercial (31)
Residential (32)
Drugs (38) (*if the case involves illegal drugs, check this item; otherwise, report as Commercial or Residential*)

Judicial Review

Asset Forfeiture (05)
Petition Re: Arbitration Award (11)
Writ of Mandate (02)
Writ—Administrative Mandamus
Writ—Mandamus on Limited Court Case Matter
Writ—Other Limited Court Case Review
Other Judicial Review (39)
Review of Health Officer Order
Notice of Appeal—Labor Commissioner Appeals

Provisionally Complex Civil Litigation (Cal. Rules of Court Rules 3.400–3.403)

Antitrust/Trade Regulation (03)
Construction Defect (10)
Claims Involving Mass Tort (40)
Securities Litigation (28)
Environmental/Toxic Tort (30)
Insurance Coverage Claims (*arising from provisionally complex case type listed above*) (41)

Enforcement of Judgment

Enforcement of Judgment (20)
Abstract of Judgment (Out of County)
Confession of Judgment (*non-domestic relations*)
Sister State Judgment
Administrative Agency Award (*not unpaid taxes*)
Petition/Certification of Entry of Judgment on Unpaid Taxes
Other Enforcement of Judgment Case

Miscellaneous Civil Complaint

RICO (27)
Other Complaint (*not specified above*) (42)
Declaratory Relief Only
Injunctive Relief Only (*non-harassment*)
Mechanics Lien
Other Commercial Complaint Case (*non-tort/non-complex*)
Other Civil Complaint (*non-tort/non-complex*)

Miscellaneous Civil Petition

Partnership and Corporate Governance (21)
Other Petition (*not specified above*) (43)
Civil Harassment
Workplace Violence
Elder/Dependent Adult Abuse
Election Contest
Petition for Name Change
Petition for Relief From Late Claim
Other Civil Petition

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ENDORSED
FILED
San Francisco County Superior Court

OCT 07 2015

CLERK OF THE COURT
BY: DEBORAH STEFFE
Deputy Clerk

6 Attorneys for Plaintiff WAYNE RUDEN

7
8 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**

9 **COUNTY OF SAN FRANCISCO**

10 WAYNE RUDEN,

11 Plaintiff,

12 vs.

13 C.R. BARD, INC., a New Jersey
corporation, BARD PERIPHERAL
14 VASCULAR, INC., (a subsidiary and/or
division of defendant C.R. BARD, INC.) an
15 Arizona corporation, CALIFORNIA
16 PACIFIC MEDICAL CENTER, and DOES
1-100 INCLUSIVE,

17 Defendants.

Case No. **CGC - 15 - 548341**

COMPLAINT FOR DAMAGES

DEMAND FOR JURY TRIAL

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28
COMPLAINT

COMPLAINT FOR DAMAGES AND DEMAND FOR JURY TRIAL

Plaintiff WAYNE RUDEN (the "Plaintiff"), by and through his undersigned attorneys, hereby sues Defendants C.R. BARD, INC.; BARD PERIPHERAL VASCULAR, INC., a subsidiary corporation and/or division of C.R. BARD, INC. (collectively the "Bard Defendants"); California Pacific Medical Center ("CPMC"), and DOES 1 to 100 inclusive (collectively, the "Defendants") and allege as follows:

1. This is an action for damages against the Bard Defendants and Does relating to the development, testing, assembling, manufacture, packaging, labeling, preparing, distribution, marketing, supplying, and/or selling the defective product sold under the name "inferior vena cava filter" (hereinafter "IVC filter").
2. This is an action for damages against all Defendants relating to the supplying, providing, implanting and/or selling the defective IVC filter and failure to reasonably disclose or to reasonably inform Plaintiff Wayne Ruden of defects which were known or apparent at the time of implantation or that became known or apparent at a later date, in derogation of duties to provide reasonable professional care or to uphold fiduciary and confidential duties to the Plaintiff.

PARTIES

Plaintiff

3. Plaintiff, Wayne Ruden, is and has at all pertinent times been a resident of San Francisco, California, which is located in the City and County of San Francisco, California.
4. Venue is proper before this Court as a substantial part of the events or omissions giving rise to the claim occurred within this County, Defendant CPMC has a principal place of business in this County, and the Defendants regularly conduct business in this County.

Defendants

5. The true names and capacities, whether individual, corporate, associate, governmental or otherwise, of Defendants DOES 1 through 100, inclusive, are unknown to Plaintiff at this time, who therefore sues said Defendants by such fictitious names. When the true names

1 and capacities of said Defendants have been ascertained, Plaintiff will amend this
2 complaint accordingly. Plaintiff is informed and believes, and thereon alleges, that
3 each Defendant designated herein as a DOE is responsible, negligently or in some other
4 actionable manner, for the events and happenings hereinafter referred to, and caused
5 injuries and damages proximately thereby to the Plaintiff, as hereinafter alleged.

6 6. At all times herein mentioned, each of the Defendants was the agent, servant, partner,
7 licensee and licensor, aider and abettor, co-conspirator, employee and/or joint venture of
8 its co-Defendants, and each of them, and at all said times each Defendant was
9 acting in the full course and scope of said agency, service, employment, partnership,
10 conspiracy, license, and/or joint venture and rendered substantial assistance and
11 encouragement to the other Defendants, knowing that its conduct constituted breach of
12 duty owed to Plaintiffs. Plaintiffs are informed and believe, and thereon allege that at
13 all times herein mentioned, Defendants **C.R. BARD, INC., DEFENDANT BARD**
14 **PERIPHERAL VASCULAR, INC., DEFENDANT CALIFORNIA PACIFIC**
15 **MEDICAL CENTER and DOES 1-100 INCLUSIVE** were individuals, corporations,
16 partnerships and/or unincorporated associations organized and existing under and by
17 virtue of the laws of the State of California, or the laws of some other state or foreign
18 jurisdiction, and that said Defendants, and each of them, were and are authorized to do
19 and are doing business in the State of California.

20 7. Defendant C.R. Bard, Inc. ("Bard") is a corporation duly organized and existing under
21 the laws of the state of Delaware and has its principal place of business in New Jersey.
22 Bard, at all times relevant to this action, designed, set specifications, manufactured,
23 prepared, compounded, assembled, processed, marketed, distributed, and sold the Bard
24 Recovery Filter ("BRF") to be implanted in patients throughout the United States,
25 including California. At all times relevant hereto, Defendant Bard was or has been
26 engaged in business in California, and has conducted substantial business activity in
27 California. Defendant has also carried on solicitations or service activities in the State of
28 California.

- 1 8. Defendant Bard Peripheral Vascular, Inc. ("BPV") is a wholly owned subsidiary
2 corporation of defendant Bard, with its principal place of business at 1625 West 3rd
3 Street, Tempe, Arizona. BPV at all times relevant to this action, designed, set
4 specifications, manufactured, prepared, compounded, assembled, processed, marketed,
5 distributed, and sold the BRF to be implanted in patients throughout the United States,
6 including California. At all times relevant hereto, Defendant BPV was or has been
7 engaged in business in California, and has conducted substantial business activity in
8 California. Defendant has also carried on solicitations or service activities in the State of
9 California.
- 10 9. Defendant CPMC is a general medical and surgical hospital and academic medical
11 center operating at multiple locations in San Francisco, California, where its principal
12 place of business is located. CPMC, at all times relevant to this action, distributed, sold
13 and utilized the BRF and implanted the same through its agents, including in the body of
14 Plaintiff. At all times relevant hereto, Defendant CPMC was or has been engaged in
15 business in California, and has conducted substantial business activity in California.
16 Defendant has also carried on solicitations or service activities in the State of California.

17 **JURISDICTION AND VENUE**

- 18 10. Jurisdiction is proper in this court because each defendant has engaged in and conducted
19 substantial business activity in California, and because all of the events and omissions
20 giving rise to liability in this matter occurred in California. The Bard defendants
21 manufactured and sold the BRF, which entered California through the stream of
22 commerce. CPMC is located in California.
- 23 11. Venue is proper in this court because Defendant CPMC resides in the City and County of
24 San Francisco County, because the decision to insert the BRF in plaintiff's body was
25 made in the City and County of San Francisco, and because various of the breaches, bad
26 acts and omissions alleged herein occurred in the City and County of San Francisco.

27 ///

28 ///

A. GENERAL FACTUAL ALLEGATIONS (ALL DEFENDANTS AND DOES 1-100 INCLUSIVE)

12. Plaintiff brings this case for serious injuries he suffered as a result of a surgically implanted medical device, known as a Bard Recovery Filter (“BRF”), which fractured, resulting in the embolization of two arm fragments into the proximal right pulmonary arteries, and the embolization of one fractured arm fragment into the right atrium of his heart, where it remains to this day.
13. The BRF was designed, manufactured, prepared, compounded, assembled, processed, labeled, marketed, distributed, and sold by Defendants for prevention of blood clots (thrombi) from traveling from the lower portions of the body to the heart and lungs.
14. Prior to Plaintiff Wayne Ruden being implanted with a BRF on or about March 2004, Defendants knew or should have known that the device was defective and unreasonably dangerous for, *inter alia*, the following reasons:
15. a. Defendants failed to conduct any clinical testing, such as animal studies, to determine how the device would function once permanently implanted in the human body.
- b. Defendants knew and/or should have known that the BRF had a high rate of fracture, migration, and excessive tilting and perforation of the vena cava wall once implanted in the human body. Defendants know and/or should have known that such failures exposed patients to serious injuries, including: death; hemorrhage; cardiac/pericardial tamponade; cardiac arrhythmia and other symptoms similar to myocardial infarction; severe and persistent pain; perforations of tissue, vessels, and organs; and inability to remove the device. Further, Defendants knew and should have known that these risks for the BRF were and are substantially higher than other similar devices.
- c. Further, Defendants knew and/or should have known that the BRF contained conditions which Defendants did not intend, which resulted in the device not performing as safely as the ordinary customer would expect.
- d. Despite being aware of these risks, Defendants misrepresented, omitted, and/or failed to provide adequate warnings of these risks or instructions for safe use.

1 e. Even when Defendants designed and began marketing what they alleged to be a
2 device that specifically reduced these risks, they still failed to issue a recall or
3 notify consumers that a safer device was available.

4 INFERIOR VENA CAVA FILTERS GENERALLY

5 16. The IVC filter at issue in this case was manufactured, marketed, and sold by the Bard
6 Defendants, C.R. Bard, Inc. and/or Bard Peripheral Vascular, Inc., at all pertinent
7 times. The Bard Defendants continue to manufacture and sell the BRF's successor, the
8 G2 device, throughout the United States of America and abroad.

9 17. IVC Filters first came on the medical market decades ago. Over the years, several
10 different medical device manufacturers have introduced several different designs of
11 IVC filters.

12 18. An IVC filter is a device that is designed to filter or "catch" blood clots (called
13 "thrombi") that travel from the lower portions of the body to the heart and lungs. IVC
14 filters may be designed to be implanted, either permanently or temporarily, in the
15 human body, more specifically, within the inferior vena cava.

16 19. The inferior vena cava is a vein that returns blood to the heart from the lower portions of
17 the body. In certain people, for various reasons, thrombi travel from the vessels in the
18 legs and pelvis, through the vena cava and into the lungs. Oftentimes, these
19 thrombi develop in the deep leg veins. These thrombi are called "deep vein thrombosis"
20 or "DVT." Once thrombi reach the lungs, they are considered "pulmonary emboli" or
21 "PE." Pulmonary emboli present grave risks to human health.

22 20. Certain people are at increased risk for the development of DVT or PE. Those people
23 at risk for DVT/PE can undergo medical treatment to manage the risk. For example, a
24 doctor may prescribe medications like Heparin, Warfarin, or Lovenox to regulate the
25 clotting factor of the blood. In some people who are at high risk for DVT/PE, or
26 who cannot manage their conditions with medications, physicians may recommend
27 surgically implanting an IVC filter to prevent thromboembolic events.

28 21. Over the years, a concern developed within the medical community (and was shared by

1 IVC filter manufacturers) that an IVC filter should be designed and manufactured so that
2 it can be retrieved from the human body. Eventually, retrievable IVC filter designs were
3 offered in the market. However, these IVC filter designs were not intended to remain
4 within the human body for indeterminate periods of time. In other words, the initial
5 designs of retrievable IVC filters were intended to remain implanted for a finite period of
6 time. The BRF (discussed in more detail *infra*) was introduced to the market in late 2002
7 or 2003 (and subsequently removed from the market in late 2005) as an IVC filter that
8 was able to be retrieved after an indeterminate time of placement within the human body.

9 **THE BARD RECOVERY FILTER**

10 22. The BRF is a medical device constructed of a nickel-titanium alloy (also called
11 “Nitinol”) designed to filter blood clots (thrombi) from the human circulatory system.
12 Nitinol material is unique. Nitinol is actually an acronym that stands for Nickel
13 Titanium Naval Ordnance Laboratory. Nitinol is also unique as it possesses “shape
14 memory.” That is, Nitinol will change shape according to change in temperature, and
15 then, retake its prior shape after returning to its initial temperature. This quality makes
16 Nitinol appealing for use in certain medical devices, including IVC Filters.

17 23. Soon after the BRF’s introduction to the market, reports were made that portions of
18 the device were fracturing and migrating to the anatomy and vital organs of the
19 patients in whom it was implanted. These reports continued to surface and were made
20 to healthcare providers, the FDA, and to the Defendants. In fact, as early as 2003, the
21 Defendants were made aware that the BRF was flawed and was causing injury and
22 death to patients who had the filter implanted in their bodies.

23 24. The BRF was plagued with manufacturing and design defects which caused it to
24 experience a significant rate of fracture and migration of the device. Studies performed
25 in the medical and scientific communities established that the BRF had a 21% to
26 31.7% rate of fracture.

27 25. The failure of the BRF, as aforesaid, was attributable, in part, to the fact that the BRF
28 was not designed so as to be able to withstand the normal anatomical and physiological

loading cycles exerted *in vivo*.

26. Sometime after 2003, the Defendants made a decision to introduce a substitute vena cava filter for Bard Peripheral Vascular's vena cava filter product line. This substitute vena cava filter was meant to replace the BRF. It was to be called the "G2 Filter." G2 stands for "second generation."

27. In 2005, the Defendants submitted an application to the Food and Drug Administration ("FDA") for introduction of the G2TM Filter to the global market. The application was submitted under Section 510(k) of the United States Food, Drug and Cosmetic Act ("Act") of 1976 (21 U.S.C. 321 *et seq.* Under Section 510(k), a medical device manufacturer may represent that the device which is offered for approval is "substantially similar" to a "predicate device." With regard to the G2 Filter, the Defendants represented to the F.D.A that it was substantially similar to the RecoveryTM Filter System (the predicate device).

28. The Defendants first received clearance from the FDA to market the G2TM Filter System as a permanent placement vena cava filter. The Defendants began selling the G2TM Filter System in September of 2005. Later, in 2008, the G2TM Filter was cleared by the FDA as a retrievable (option) IVC filter.

WHAT HAPPENS WHEN THE BRF FAILS?

29. The failure (fracture and/or migration) of the BRF leads to a number of different, and potentially fatal, complications. These complications include, but are not limited to:

- a. Death;
- b. Hemorrhage;
- c. Cardiac/pericardial tamponade (pressure caused by a collection of blood in the area around the heart);
- d. Severe and persistent pain; and
- e. Perforation of tissue, vessels and organs.

30. The person who experiences failure (fracture and/or migration) of the BRF often

1 experiences an acute onset of chest pain and shortness of breath. This typically
2 results in the person presenting to an emergency room, hospital, and/or physician for
3 evaluation.

4 31. The BRF was placed in Plaintiff's body on or about March 2004. Plaintiff discovered
5 for the first time on or about March 2015 that the BRF had fractured, injuring him by
6 causing the embolization of two arm fragments into the proximal right pulmonary arteries,
7 and the embolization of one fractured arm fragment into the right atrium of his heart.
8 Plaintiff has incurred significant medical expenses and has endured extreme pain
9 and suffering, fear of death, loss of enjoyment of life, and other losses, some of
10 which are permanent in nature. As a result of the failure of the BRF, Plaintiff lives
11 in constant fear that the BRF will continue to migrate, pierce his heart, and
12 kill him. Plaintiff has become impaired and his ability to earn wages has been
13 diminished, and will remain so in the future. The defective BRF remains in
14 Plaintiff's body. Plaintiff is required to attend regular physicians' visits and to
15 undergo imaging studies.

16 32. As a direct and proximate result of the conduct and defective product of the Bard
17 Defendants, as alleged in this Complaint, and of the failure by Defendant CPMC to
18 disclose information about said defective product that it had a duty to disclose, Plaintiff
19 Wayne Ruden has suffered permanent and continuing injury, loss of enjoyment of
20 life, pain, suffering, and impairment. Plaintiff has suffered emotional trauma, harm
21 and injuries. Plaintiff's ability to carry on the affairs of his daily life has been
22 impacted and diminished, and will continue to diminish in the future.

23 33. As a direct and proximate result of the conduct and defective product of the
24 Defendants, as alleged in this Complaint, medical monitoring is necessary for Plaintiff
25 Wayne Ruden. Medical monitoring includes:
26 a. Regularly scheduled CT scans or other appropriate imaging studies; and/or
27 b. Potential cardiac catheterization or other endovascular procedure to detect the
28 presence of migrated pieces of the BRF; and or physicians' visits and examinations.

1 ///

2 **THE DEFENDANTS' KNOWLEDGE OF THE FAILURE OF THE BRF AND THE**
3 **DANGERS ASSOCIATED WITH THE DEVICE**

4 34. Upon information and belief, Plaintiff alleges that, at all pertinent times including prior
5 to the implantation of the BRF in Plaintiff in March 2004, the Bard Defendants C.R.
6 Bard, Inc. and Bard Peripheral Vascular, Inc. were aware and had knowledge of the
7 fact that the BRF was defective and unreasonably dangerous and was causing injury
8 and death to patients who had received the BRF.

9 35. Upon information and belief, the Bard defendants caused regulatory approval to be
10 obtained for the device through deceptive means, and with full knowledge of its
11 dangerous propensities and unacceptable failure rate.

12 36. Upon information and belief, Plaintiff alleges that CPMC was aware and had
13 knowledge of the fact that the BRF was defective and unreasonably dangerous and
14 was causing injury and death to patients who had received the BRF.

15 37. Data established that the failure rate of the BRF was/is exceedingly higher than the
16 rates the Defendants have published in the past, and currently continue to publish to the
17 medical community, members of the public, and the FDA.

18 38. Over 921 adverse events were identified by the FDA through a warning issued in
19 August of 2010 regarding risks associated with IVC filter complications.

20 39. Upon information and belief, from the time the BRF became available on the market,
21 the Bard Defendants embarked on an aggressive campaign of "off-label marketing"
22 concerning the BRF. This included representations made to physicians, healthcare
23 professionals, and other members of the medical community that the BRF was
24 safe and effective for retrievable use prior to the FDA clearing the BRF for
25 retrievable use in 2008.

26 40. The conduct of the Bard Defendants C.R. Bard, Inc. and Bard Peripheral Vascular,
27 Inc. as alleged in this Complaint, constituted willful, wanton, gross and outrageous
28 corporate conduct that demonstrates a conscious disregard for the safety of the Plaintiff

1 Wayne Ruden. The Bard Defendants had actual knowledge of dangers to the life
2 health and well-being of the Plaintiff Wayne Ruden presented by the BRF, yet
3 consciously failed to act reasonably to:

- 4 a. Inform or warn the Plaintiff, his physicians, or the public at large of the dangers;
5 and
6 b. Recall the BRF from the market in a timely and safe fashion;

7 41. Despite having knowledge at all pertinent times of the unreasonably dangerous and
8 defective nature of the product, the Defendants C.R. Bard, Inc. and Bard Peripheral
9 Vascular, Inc. consciously disregarded the known risks, failed to warn physicians and
10 existing users of the great risk of long-term retention of the device, and continued to
11 actively market and offer for sale the BRF.

12 42. Plaintiff further alleges that the Defendants C.R. Bard, Inc. and Bard Peripheral
13 Vascular, Inc. acted in a willful, wanton and gross manner, and in total disregard for
14 the health and safety of the users or consumers of its BRF, including Plaintiff
15 Wayne Ruden, and acted to serve their own interests and consciously pursued a course
16 of conduct knowing that such conduct created a substantial risk of significant harm
17 to other persons. Therefore, Defendants C.R. Bard, Inc. and Bard Peripheral Vascular,
18 Inc. should be required to pay a punitive or exemplary damage award to the Plaintiff.

19 **FIRST CAUSE OF ACTION: NEGLIGENCE**

20 **(BARD DEFENDANTS AND DOES 1-100 INCLUSIVE)**

21 43. Plaintiff re-alleges and incorporates by reference each and every allegation contained in
22 the foregoing paragraphs as though fully set forth herein.

23 44. At all times relevant to this cause of action, the Bard Defendants were in the business of
24 designing, developing, setting specifications, manufacturing, marketing, selling, and
25 distributing the BRF.

26 45. The Bard Defendants designed, manufactured, marketed, inspected, labeled, promoted,
27 distributed and sold the BRF that was implanted in Plaintiff.
28

1 46. The Bard Defendants had a duty to exercise reasonable and prudent care in the
2 development, testing, design, manufacture, inspection, marketing, labeling, promotion,
3 distribution and sale of the BRF so as to avoid exposing others to foreseeable and
4 unreasonable risks of harm.

5 47. At the time the BRF was implanted in Plaintiff's body, all Defendants knew or
6 reasonably should have known that the BRF was dangerous or was likely to be dangerous
7 when used in its intended or reasonably foreseeable manner.

8 48. At the time of manufacture and sale of the BRF, the Bard Defendants knew or should
9 have known that the BRF:

- 10 a. Was designed and manufactured in such a manner so as to present an unreasonable
11 risk of fracture of portions of the device;
12 b. Was designed and manufactured so as to present a unreasonable risk of migration
13 of the device and/or portions of the device; and/or
14 c. Was designed and manufactured so as to present a unreasonable risk of the device
15 tilting and/or perforating the vena cava wall; and/or
16 d. Was designed and manufactured to have unreasonable and insufficient strength or
17 structural integrity to withstand normal placement within the human body.

18 49. At the time of manufacture and sale of the BRF, the Bard Defendants knew or should
19 have known that using the BRF in its intended use or in a reasonably foreseeable manner
20 created a significant risk of a patient suffering severe health side effects, including, but
21 not limited to: hemorrhage; cardiac/pericardial tamponade; cardiac arrhythmia and other
22 symptoms similar to myocardial infarction; perforations of tissue, vessels and organs;
23 and other severe personal injuries and diseases, which are permanent in nature, including,
24 but not limited to, death, physical pain and mental anguish, scarring and disfigurement,
25 diminished enjoyment of life, continued medical care and treatment due to chronic
26 injuries/illness proximately caused by the device; and the continued risk of requiring
27 additional medical and surgical procedures including general anesthesia, with attendant
28 risk of life threatening complications.

1 50. At the time the BRF was implanted in Plaintiff's Body, all Defendants knew or
2 reasonably should have known that consumers of the BRF would not realize the danger
3 associated with using the device in its intended use and/or in a reasonably foreseeable
4 manner.

5 51. Defendants breached their duty to exercise reasonable and prudent care in the
6 development, testing, design, manufacture, inspection, marketing, labeling, promotion,
7 distribution and sale of the BRF in, among other ways, the following acts and omissions:
8 a. Designing and distributing a product in which they knew or should have known that
9 the likelihood and severity of potential harm from the product exceeded the burden of
10 taking safety measures to reduce or avoid harm;
11 b. Designing and distributing a product in which they knew or should have known that
12 the likelihood and severity of potential harm from the product exceeded the
13 likelihood of potential harm from other device available for the same purpose;
14 c. Failing to use reasonable care in manufacturing the product and producing a product
15 that differed from their design or specifications or from other typical units from the
16 same production line;
17 d. Failing to use reasonable care to warn or instruct, including pre- and post-sale,
18 Plaintiff, Plaintiff's physicians, or the general health care community about the
19 BRF's substantially dangerous condition or about facts making the product likely to
20 be dangerous;
21 e. Failing to perform reasonable pre and post-market testing of the BRF to determine
22 whether or not the product was safe for its intended use;
23 f. Failing to provide adequate instructions, guidelines, and safety precautions, including
24 pre- and post-sale, to those persons to whom it was reasonably foreseeable would
25 prescribe, use, and implant the BRF;
26 g. Advertising, marketing and recommending the use of the BRF, while concealing and
27 failing to disclose or warn of the dangers known by Defendants to be connected with
28 and inherent in the use of the BRF;

- 1 h. Representing that the BRF was safe for its intended use when in fact, Defendants
- 2 knew and should have known the product was not safe for its intended purpose;
- 3 i. Continuing manufacture and sale of the BRF with the knowledge that said product
- 4 was dangerous and not reasonably safe, and failing to comply with good
- 5 manufacturing regulations of the Food and Drug Administration;
- 6 j. Failing to use reasonable and prudent care in the design, research, manufacture, and
- 7 development of the BRF so as to avoid the risk of serious harm associated with the
- 8 use of the BRF;
- 9 k. Advertising, marketing, promoting and selling the BRF for uses other than as
- 10 approved and indicated in the product's label;
- 11 l. Failing to establish an adequate quality assurance program used in the manufacturing
- 12 of the BRF; and
- 13 m. Failing to establish and maintain an adequate post-market surveillance program.
- 14 52. A reasonable manufacturer, distributor, seller or medical provider under the same or
- 15 similar circumstances would not have engaged in the before-mentioned acts and
- 16 omissions.
- 17 53. As a direct and proximate result of the foregoing negligent acts and omissions by
- 18 Defendants, Plaintiff has suffered and will continue to suffer serious physical injuries,
- 19 economic loss, loss of enjoyment of life, disability, and other losses, in an amount to be
- 20 determined at trial.

21 **SECOND CAUSE OF ACTION: NEGLIGENCE**

22 **(CPMC AND DOES 1-100 INCLUSIVE)**

- 23 54. Plaintiff re-alleges each and every allegation in this Complaint and incorporates each
- 24 allegation into this Count, as if set forth at length, in its entirety.
- 25 55. CPMC, through its own acts and the acts of its agents, created a fiduciary or special
- 26 relationship with the Plaintiff when they and their agents recommended and advised the
- 27 insertion of a BRF in Plaintiff, and when they and their agents inserted a BRF in Plaintiff
- 28

1 on or about March 2004.

2 56. The implantation of the BRF in Plaintiff created a danger, which arose from the fiduciary
3 or special relationship between CPMC and plaintiff.

4 57. CPMC therefore had an ongoing duty to reasonably warn the Plaintiff of newly-
5 discovered risks related to this danger based on their fiduciary and special relationship
6 with the Plaintiff.

7 58. On August 9, 2010, the FDA issued a warning letter regarding IVC filters, reporting 921
8 adverse events associated with the devices. The FDA advised that the events could be
9 related to a retrievable filter remaining in the body for long periods of time.

10 59. The FDA advised treaters to consider the risks and benefits of filter removal for each
11 patient.

12 60. On information and belief, CPMC received this warning.

13 61. CPMC failed to communicate with the Plaintiff, including by requesting that he undergo
14 reasonable imaging examinations to determine the status of the BRF in his body or by
15 asking him to undergo other appropriate and reasonable testing or examination or by
16 providing information related to his health.

17 62. CPMC did not act with reasonable care when it failed to communicate with the Plaintiff in
18 said fashion. Its failure to use reasonable care was gross, oppressive and malicious.

19 **THIRD CAUSE OF ACTION**

20 **STRICT PRODUCTS LIABILITY - FAILURE TO WARN**

21 **(BARD DEFENDANTS AND DOES 1-100 INCLUSIVE)**

22 63. Plaintiff re-alleges and incorporates by reference each and every allegation contained in
23 the foregoing paragraphs as though fully set forth herein.

24 64. The Bard Defendants designed, set specifications, manufactured, prepared, compounded,
25 assembled, processed, marketed, labeled, distributed and sold the BRF, including the one
26 implanted into Plaintiff, into the stream of commerce and in the course of same, directly
27 advertised and marketed the device to consumers or persons responsible for consumers.
28

65. At the time the Bard Defendants designed, manufactured, prepared, compounded, assembled, processed, marketed, labeled, distributed, and sold the device into the stream of commerce, the Bard Defendants knew or should have known the device presented an unreasonable danger to users of the product when put to its intended and reasonably anticipated use.

66. Defendants knew or should have known at the time the BRF was implanted in Plaintiff, that the BRF, *inter alia*, posed a significant and higher risk than other similar devices of device failure (fracture, migration, tilting, and perforation of the vena cava wall) and resulting serious injuries.

67. Therefore, Defendants had a duty to warn of the risk of harm associated with the use of the device and to provide adequate instructions on the safe and proper use of the device. Defendants further had a duty to warn of dangers and proper safety instructions that it became aware of even after the device was distributed and implanted in Plaintiff.

68. Despite this duty, Defendants failed to adequately warn of material facts regarding the safety and efficacy of the BRF, and further failed to adequately provide instructions on the safe and proper use of the device.

69. No health care provider, including Plaintiff's physicians, or patient would have used the device in the manner directed, had those facts been made known to the prescribing healthcare providers and/or ultimate users of the device.

70. The health risks associated with the device as described herein are of such a nature that ordinary consumers would not have readily recognized the potential harm.

71. Plaintiff and Plaintiff's health care providers used the device in a normal, customary, intended, and foreseeable manner, namely as a surgically implanted device used to prevent pulmonary embolisms.

72. After it was implanted, the device continued to function in a normal, customary, intended, and foreseeable manner, namely as a surgically implanted device used to prevent pulmonary embolisms.

73. Therefore, the BRF implanted in Plaintiff was defective and unreasonably dangerous at

1 the time of release into the stream of commerce due to inadequate warnings, labeling
2 and/or instructions accompanying the product.

3 74. The BRF implanted in Plaintiff was in the same condition as when it was manufactured,
4 inspected, marketed, labeled, promoted, distributed and sold by the Bard Defendants.

5 75. As a direct and proximate result of Defendants' lack of sufficient warning and/or
6 instructions, Plaintiff has suffered and will continue to suffer serious physical injuries,
7 economic loss, loss of enjoyment of life, disability, and other losses, in an amount to be
8 determined at trial.

9 **FOURTH CAUSE OF ACTION**

10 **STRICT PRODUCTS LIABILITY—**

11 **DESIGN DEFECT—CONSUMER EXPECTATION**

12 **(BARD DEFENDANTS AND DOES 1-100 INCLUSIVE)**

13 76. Plaintiff re-alleges and incorporates by reference each and every allegation contained in
14 the foregoing paragraphs as though fully set forth herein.

15 77. At all times relevant to this action, the Bard Defendants developed, tested, designed,
16 manufactured, inspected, labeled, promoted, distributed and sold into the stream of
17 commerce the BRF, including the one implanted in Plaintiff.

18 78. The BRF was expected to, and did, reach its intended consumers without substantial
19 change in the condition in which it was in when it left Defendants' possession. In the
20 alternative, any changes that were made to the BRF implanted in Plaintiff were
21 reasonably foreseeable to Defendants.

22 79. The BRF implanted in Plaintiff was defective in design because it failed to perform as
23 safely as an ordinary consumer would have expected it to perform at the time of use.

24 80. The BRF implanted in Plaintiff was defective in design, in that its risks of harm exceeded
25 its claimed benefits.

26 81. Plaintiff and Plaintiff's health care providers used the BRF in a manner that was
27 reasonably foreseeable to and intended by Defendants.

28 82. Neither Plaintiff, nor Plaintiff's health care providers could have by the exercise of

1 reasonable care discovered the device's defective condition or perceived its unreasonable
2 dangers prior to Plaintiff's implantation with the device.

3 83. As a direct and proximate result of the BRF's defective design, Plaintiff has suffered and
4 will continue to suffer serious physical injuries, economic loss, loss of enjoyment of life,
5 ongoing fear and dread, disability, and other losses, in an amount to be determined at
6 trial.

7 **FIFTH CAUSE OF ACTION**

8 **STRICT PRODUCTS LIABILITY –**

9 **DESIGN DEFECT – RISK-BENEFIT TEST**

10 **(BARD DEFENDANTS AND DOES 1-100 INCLUSIVE)**

11 84. Plaintiff re-alleges and incorporates by reference each and every allegation contained in
12 the foregoing paragraphs as though fully set forth herein.

13 85. At all times relevant to this action, the Bard Defendants developed, tested, designed,
14 manufactured, inspected, labeled, promoted, distributed and sold into the stream of
15 commerce the BRF, including the one implanted in Plaintiff.

16 86. As a direct and proximate result of the BRF's defective design, Plaintiff has suffered and
17 will continue to suffer serious physical injuries, economic loss, loss of enjoyment of life,
18 ongoing fear and dread, disability, and other losses, in an amount to be determined at
19 trial.

20 87. The BRF implanted in Plaintiff was defective in design, in that its risks of harm exceeded
21 its claimed benefits

22 88. The BRF implanted in Plaintiff was defective in design because it was not designed to
23 withstand the stress of long-term implantation *in vivo*.

24 89. The BRF implanted in Plaintiff was defective in design because of the unacceptably high
25 risk of fracture and fragmentation.

26 90. The BRF implanted in Plaintiff was defective in design, because, on information and
27 belief, safer alternative designs existed. An example is the inferior vena cava filter
28 designs used by other manufacturers that did not result in such a high fragmentation rate.

1 91. The BRF implanted in Plaintiff was defective in design because, on information and
2 believe, the cost of an alternative design was less than the grave risk of releasing the
3 product onto the market in the state it was released.

4 **SIXTH CAUSE OF ACTION**

5 **STRICT PRODUCTS LIABILITY – MANUFACTURING DEFECT**

6 **(BARD DEFENDANTS AND DOES 1-100 INCLUSIVE)**

7 92. Plaintiff re-alleges and incorporate by reference each and every allegation contained in
8 the foregoing paragraphs as though fully set forth herein.

9 93. The Bard Defendants designed, set specifications, manufactured, prepared, compounded,
10 assembled, processed, marketed, labeled, distributed, and sold the BRF that was
11 implanted into Plaintiff.

12 94. The BRF implanted in Plaintiff contained a condition, which Defendants did not intend;
13 at the time it left Defendants' control and possession.

14 95. Plaintiff and Plaintiff's health care providers used the device in a manner that was
15 reasonably foreseeable to Defendants.

16 96. As a result of this condition, the product injured Plaintiff and failed to perform as safely
17 as an ordinary consumer would expect when used in a reasonably foreseeable manner.

18 97. As a direct and proximate result of the BRF's manufacturing defect, Plaintiff has suffered
19 and will continue to suffer serious physical injuries, economic loss, loss of enjoyment of
20 life, disability, and other losses, in an amount to be determined at trial.

21 **SEVENTH CAUSE OF ACTION**

22 **BREACH OF IMPLIED WARRANTY OF MERCHANTABILITY**

23 **(BARD DEFENDANTS AND DOES 1-100 INCLUSIVE)**

24 98. Plaintiff re-alleges and incorporates by reference each and every allegation contained in
25 the foregoing paragraphs as though fully set forth herein.

26 99. At all times relevant to this action, Defendants designed, researched, developed,
27 manufactured, tested, labeled, inspected, advertised, promoted, marketed, sold, and
28 distributed into the stream of commerce the BRF for use as a surgically implanted device

1 used to prevent pulmonary embolisms and for uses other than as approved and indicated
2 in the product's instructions, warnings, and labels.

3 100. At the time and place of the sale, distribution, and supply of the Defendants' BRF to
4 Plaintiff by way of Plaintiff's health care providers and medical facilities, Defendants
5 expressly represented and warranted, by labeling materials submitted with the product,
6 that the BRF was safe and effective for its intended and reasonably foreseeable use.

7 101. Defendants knew of the intended and reasonably foreseeable use of the BRF, at the time
8 they marketed, sold, and distributed the product for use by Plaintiff, and impliedly
9 warranted the product to be of merchantable quality, and safe and fit for its intended use.

10 102. Defendants impliedly represented and warranted to the healthcare community, Plaintiff
11 and Plaintiff's health care providers, that the BRF was safe and of merchantable quality
12 and fit for the ordinary purpose for which the product was intended and marketed to be
13 used.

14 103. The representations and implied warranties made by Defendants were false, misleading,
15 and inaccurate because the BRF was defective, unsafe, unreasonably dangerous, and not
16 of merchantable quality, when used in its intended and/or reasonably foreseeable manner.
17 Specifically, at the time of Plaintiff's purchase of the BRF from the Defendants, through
18 Plaintiff's physicians and medical facilities, it was not in a merchantable condition in
19 that:

20 a. It was designed in such a manner so as to be prone to a statistically high incidence of
21 failure, including fracture, migration, excessive tilting, and perforation of the inferior
22 vena cava;

23 b. It was designed in such a manner so as to result in a statistically significant incidence of
24 injury to the organs and anatomy; and

25 c. It was manufactured in such a manner so that the exterior surface of the BRF was
26 inadequately, improperly and inappropriately prepared and/or finished causing the
27 device to weaken and fail.

28 104. Plaintiff and Plaintiff's health care providers reasonably relied on the superior skill and

1 judgment of Defendants as the designers, researchers and manufacturers of the product,
2 as to whether the BRF was of merchantable quality and safe and fit for its intended use,
3 and also relied on the implied warranty of merchantability and fitness for the particular
4 use and purpose for which the BRF was manufactured and sold.

5 105. Defendants placed the BRF into the stream of commerce in a defective, unsafe, and
6 unreasonably dangerous condition, and the product was expected to and it did reach
7 Plaintiff without substantial change in the condition in which the BRF was manufactured
8 and sold.

9 106. Defendants breached their implied warranty because their BRF was not fit for its
10 intended use and purpose.

11 107. As a proximate result of Defendants breaching their implied warranties, Plaintiff has
12 suffered and will continue to suffer serious physical injuries, economic loss, loss of
13 enjoyment of life, disability, and other losses, in an amount to be determined at trial.

14 **EIGHTH CAUSE OF ACTION**

15 **NEGLIGENT MISREPRESENTATION**

16 **(BARD DEFENDANTS AND DOES 1-100 INCLUSIVE)**

17 108. Plaintiff re-alleges and incorporates by reference each and every allegation contained in
18 the foregoing paragraphs as though fully set forth herein.

19 109. At all times relevant to this cause, and as detailed *supra*, Defendants negligently
20 provided Plaintiff, Plaintiff's health care providers, and the general medical community
21 with false or incorrect information, or omitted or failed to disclose material information
22 concerning the BRF, including, but not limited to, misrepresentations relating to the
23 following subject areas:

- 24 a. The safety of the BRF;
25 b. The efficacy of the BRF;
26 c. The rate of failure of the BRF;
27 d. The approved uses of the BRF.

28 110. The information distributed by Defendants to the public, the medical community and

- 1 Plaintiff's health care providers was in the form of reports, press releases, advertising
2 campaigns, labeling materials, print advertisements, commercial media containing
3 material representations, which were false and misleading, and contained omissions and
4 concealment of the truth about the dangers of the use of the BRF. Defendants made the
5 foregoing misrepresentations knowing that they were false or without reasonable basis.
6 On information and belief, these materials included instructions for use and warning in a
7 document that was included in the package of the BRF that was implanted in Plaintiff.
- 8 111. Defendants' intent and purpose in making these misrepresentations was to deceive and
9 defraud the public and the medical community, including Plaintiff's health care
10 providers; to gain the confidence of the public and the medical community, including
11 Plaintiff's health care providers; to falsely assure them of the quality of the BRF and its
12 fitness for use; and to induce the public and the medical community, including
13 Plaintiff's healthcare providers, to request, recommend, prescribe, implant, purchase, and
14 continue to use the BRF.
- 15 112. The foregoing representations and omissions by Defendants were in fact false. The BRF
16 is not safe, fit, and effective for human use in its intended and reasonably foreseeable
17 manner. The use of the BRF is hazardous to the user's health, and said device has a
18 serious propensity to cause users to suffer serious injuries, including without limitation,
19 the injuries Plaintiff suffered. Further, the device has a significantly higher rate of failure
20 and injury than do other comparable devices.
- 21 113. In reliance upon the false and negligent misrepresentations and omissions made by
22 Defendants, Plaintiff and Plaintiff's health care providers were induced to, and did use
23 the BRF, thereby causing Plaintiff to sustain severe and permanent personal injuries.
- 24 114. Defendants knew and had reason to know that Plaintiff, Plaintiff's health care providers,
25 and the general medical community did not have the ability to determine the true facts
26 intentionally and/or negligently concealed and misrepresented by Defendants, and would
27 not have prescribed and implanted same, if the true facts were known to them.
- 28 115. Defendants had sole access to material facts concerning the defective nature of the

1 product and its propensity to cause serious and dangerous side effects in the form of
2 dangerous injuries and damages to persons who are implanted with the BRF.

3 116. At the time Defendants failed to disclose and misrepresented the foregoing facts, and at
4 the time Plaintiff used the BRF, Plaintiff and Plaintiff's health care providers were
5 unaware of said Defendants' negligent misrepresentations and omissions.

6 117. Plaintiff, Plaintiff's health care providers and the general medical community reasonably
7 relied upon misrepresentations and omissions made by Defendants where the concealed
8 and misrepresented facts were critical to understanding the true dangers inherent in the
9 use of the BRF.

10 118. Plaintiff and Plaintiff's health care provider's reliance on the foregoing
11 misrepresentations and omissions by Defendants' were the direct and proximate cause of
12 Plaintiff's injuries as described herein.

13 **NINTH CAUSE OF ACTION**

14 **BREACH OF FIDUCIARY DUTY**

15 **(CPMC AND DOES 1-100 INCLUSIVE)**

16 119. Plaintiff re-alleges each and every allegation in this Complaint and incorporates each
17 allegation into this Count, as if set forth at length, in its entirety.

18 120. CPMC, through its own acts and the acts of its agents, created a fiduciary or special
19 relationship with the Plaintiff when its and its agents recommended and advised the
20 insertion of a BRF in Plaintiff, and when it and its agents inserted a BRF in Plaintiff on or
21 about March 2004.

22 121. The implantation of the BRF in Plaintiff created a danger, which arose from the fiduciary
23 or special relationship between CPMC and plaintiff.

24 122. CPMC therefore had an ongoing duty to warn the Plaintiff of newly-discovered risks
25 related to this danger based on its fiduciary and special relationship with the Plaintiff.

26 123. On August 9, 2010, the FDA issued a warning letter regarding IVC filters, reporting 921
27 adverse events associated with the devices. The FDA advised that the events could be
28 related to a retrievable filter remaining in the body for long periods of time.

1 124. The FDA advised treaters to consider the risks and benefits of filter removal for each
2 patient.

3 125. CPMC did not advise the Plaintiff of the FDA warning or of the association of adverse
4 events with a retrievable IVC filter remaining in the body for a long period of time, even
5 though the BRF had already been in the Plaintiff's body for over 6 years when the
6 warning was issued.

7 126. CPMC did not contact the Plaintiff to obtain information or to advise testing to gather all
8 possible information on the severe dangers to which they knew the Plaintiff was exposed.

9 **TENTH CAUSE OF ACTION**

10 **NEGLIGENCE – RECALL/RETROFIT**

11 **(ALL DEFENDANTS AND DOES 1-100 INCLUSIVE)**

12 127. Plaintiff re-alleges each and every allegation in this Complaint and incorporates each
13 allegation into this Count, as if set forth at length, in its entirety.

14 128. Defendants manufactured, distributed and sold the BRF.

15 129. Defendants knew or reasonably should have known that the BRF was dangerous or was
16 likely to be dangerous when used in a reasonably foreseeable manners.

17 130. On August 9, 2010, the FDA issued a warning letter regarding IVC filters, reporting 921
18 adverse events associated with the devices. The FDA advised that the events could be
19 related to a retrievable filter remaining in the body for long periods of time.

20 131. The FDA advised treaters to consider the risks and benefits of filter removal for each
21 patient.

22 132. After learning of this defect, Defendants did not advise the Plaintiff of the FDA warning
23 or of the association of adverse events with a retrievable IVC filter remaining in the body
24 for a long period of time, even though the BRF had already been in the Plaintiff's body for
25 over 6 years when the warning was issued.

26 133. The Defendants did not contact the Plaintiff to obtain information or to advise testing to
27 understand the severe dangers to which they knew the Plaintiff was exposed.

28 134. The Defendants did not take available actions to recall the BRF from the market.

1 135. A reasonable manufacturer, distributor, seller or health care provider, under the same or
2 similar circumstances, would have recalled the BRF.

3 136. Plaintiff Wayne Ruden was harmed by this conduct.

4 137. Defendants' failure to recall the BRF was a substantial factor in causing Plaintiff Wayne
5 Ruden's harm.

6 **PUNITIVE DAMAGES ALLEGATIONS**

7 **(ALL DEFENDANTS AND DOES 1-100 INCLUSIVE)**

8 138. Plaintiff re-alleges each and every allegation in this Complaint and incorporates each
9 allegation into this Count, as if set forth at length, in its entirety.

10 139. Plaintiff is entitled to an award of punitive and exemplary damages based upon
11 Defendants' intentional, willful, knowing, fraudulent, malicious acts, omissions, and
12 conduct, and their complete and total reckless disregard for the public safety and welfare.

13 140. Defendants had knowledge of, and were in possession of evidence demonstrating that,
14 the BRF was defective and unreasonably dangerous and had a substantially higher failure
15 rate than did other similar devices on the market. Yet, Defendants failed to:

- 16 a. Withdraw the BRF from the market as soon as they learned of its excessive dangers;
17 b. Withdraw the BRF from the market once the FDA issued its warning letter;
18 c. Inform or warn Plaintiff or his health care providers of the excessive dangers prior to or
19 after the insertion of the BRF into his body;
20 d. Establish and maintain an adequate quality and post-market surveillance system; and
21 e. Timely inform the Plaintiff that the FDA had issued a warning for the BRF.

22 141. Defendants so acted to serve their own pecuniary interests. Having reasons to know and
23 consciously disregarding the substantial risk that their product might kill or significantly
24 harm patients, or significantly injure and impair the rights of others, Defendants
25 consciously pursued a course of conduct knowing that such conduct created a substantial
26 risk of significant harm to other persons.

27 142. Alternatively, Defendants recklessly and willfully pursued a course of conduct knowing
28 that such conduct created a substantial risk of significant harm to other persons.

1 143. As a direct, proximate, and legal result of Defendants' acts and omissions as described
2 herein, and Plaintiff implantation with Defendants' defective product, Plaintiff has
3 suffered and will continue to suffer serious physical injuries, economic loss, loss of
4 enjoyment of life, disability, and other losses, in an amount to be determined at trial.

5 **PRAYER FOR DAMAGES**

6 **WHEREFORE**, Plaintiff, Wayne Ruden, prays for relief on the entire complaint, as
7 follows:

- 8 a. Judgment to be entered against all defendants on all causes of action of this
9 Complaint, including but not limited to:
- 10 1. Physical pain and suffering in the past and which, in reasonable
11 probability, he will continue to suffer in the future;
 - 12 2. Physical impairment and incapacity in the past and which, in reasonable
13 probability, he will continue to suffer in the future;
 - 14 3. Mental anguish in the past and which, in reasonable probability, he will
15 sustain in the future;
 - 16 4. Reasonable and necessary medical expenses for treatment received in the
17 past and, based upon reasonable medical probability, the reasonable
18 medical expenses he will need in the future;
 - 19 5. Disfigurement in the past and which, in reasonable probability, he will
20 continue to suffer in the future;
 - 21 6. Loss of earning capacity in the past and future; and
 - 22 7. Punitive damages.
- 23 b. Plaintiff be awarded full, fair, and complete recovery for all claims and causes of
24 action relevant to this action;
- 25 c. Plaintiff be awarded all appropriate costs, fees, expenses, and pre-judgment and post
26 judgment interest pursuant to the laws of the State of California as authorized by law
27 on the judgments entered in Plaintiff's behalf; and,
- 28 d. Such other relief the court deems just and proper.

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Dated: October 7, 2015

ONGARO PC

By: 

Glen Turner
Attorneys for Plaintiff
WAYNE RUDEN



Superior Court of California, County of San Francisco Alternative Dispute Resolution Program Information Package



The plaintiff must serve a copy of the ADR information package on each defendant along with the complaint. (CRC 3.221(c))

WHAT IS ADR?

Alternative Dispute Resolution (ADR) is the term used to describe the various options available for settling a dispute without a trial. There are many different ADR processes, the most common forms of which are mediation, arbitration and settlement conferences. In ADR, trained, impartial people decide disputes or help parties decide disputes themselves. They can help parties resolve disputes without having to go to court.

WHY CHOOSE ADR?

"It is the policy of the Superior Court that every noncriminal, nonjuvenile case participate either in an early settlement conference, mediation, arbitration, early neutral evaluation or some other alternative dispute resolution process prior to trial." (Local Rule 4)

ADR can have a number of advantages over traditional litigation:

- **ADR can save time.** A dispute often can be resolved in a matter of months, even weeks, through ADR, while a lawsuit can take years.
- **ADR can save money,** including court costs, attorney fees, and expert fees.
- **ADR encourages participation.** The parties may have more opportunities to tell their story than in court and may have more control over the outcome of the case.
- **ADR is more satisfying.** For all the above reasons, many people participating in ADR have reported a high degree of satisfaction.

HOW DO I PARTICIPATE IN ADR?

Litigants may elect to participate in ADR at any point in a case. General civil cases may voluntarily enter into the court's ADR programs by any of the following means:

- Filing a Stipulation to ADR: Complete and file the Stipulation form (attached to this packet)
- Indicating your ADR preference on the Case Management Statement (also attached to this packet); or
- Contacting the court's ADR office (see below) or the Bar Association of San Francisco's ADR Services at 415-782-8905 or www.sfbar.org/adr for more information.

For more information about ADR programs or dispute resolution alternatives, contact:

Superior Court Alternative Dispute Resolution
400 McAllister Street, Room 103, San Francisco, CA 94102
415-551-3869

Or, visit the court ADR website at www.sfsuperiorcourt.org

The San Francisco Superior Court offers different types of ADR processes for general civil matters; each ADR program is described in the subsections below:

1) SETTLEMENT CONFERENCES

The goal of settlement conferences is to provide participants an opportunity to reach a mutually acceptable settlement that resolves all or part of a dispute early in the litigation process.

(A) THE BAR ASSOCIATION OF SAN FRANCISCO (BASF) EARLY SETTLEMENT PROGRAM (ESP): ESP remains as one of the Court's ADR programs (see Local Rule 4.3) but parties must select the program – the Court no longer will order parties into ESP.

Operation: Panels of pre-screened attorneys (one plaintiff, one defense counsel) each with at least 10 years' trial experience provide a minimum of two hours of settlement conference time, including evaluation of strengths and weakness of a case and potential case value. On occasion, a panelist with extensive experience in both plaintiff and defense roles serves as a sole panelist. BASF handles notification to all parties, conflict checks with the panelists, and full case management. The success rate for the program is 78% and the satisfaction rate is 97%. Full procedures are at: www.sfbar.org/esp.

Cost: BASF charges an administrative fee of \$295 per party with a cap of \$590 for parties represented by the same counsel. Waivers are available to those who qualify. For more information, call Marilyn King at 415-782-8905, email adr@sfbar.org or see enclosed brochure.

(B) MANDATORY SETTLEMENT CONFERENCES: Parties may elect to apply to the Presiding Judge's department for a specially-set mandatory settlement conference. See Local Rule 5.0 for further instructions. Upon approval of the Presiding Judge, the court will schedule the conference and assign the case for a settlement conference.

2) MEDIATION

Mediation is a voluntary, flexible, and confidential process in which a neutral third party facilitates negotiations. The goal of mediation is to reach a mutually satisfactory agreement that resolves all or part of a dispute after exploring the interests, needs, and priorities of the parties in light of relevant evidence and the law.

(A) MEDIATION SERVICES OF THE BAR ASSOCIATION OF SAN FRANCISCO, in cooperation with the Superior Court, is designed to help civil litigants resolve disputes before they incur substantial costs in litigation. While it is best to utilize the program at the outset of litigation, parties may use the program at any time while a case is pending.

Operation: Experienced professional mediators, screened and approved, provide one hour of preparation time and the first two hours of mediation time. Mediation time beyond that is charged at the mediator's hourly rate. BASF pre-screens all mediators based upon strict educational and experience requirements. Parties can select their mediator from the panels at www.sfbar.org/mediation or BASF can assist with mediator selection. The BASF website contains photographs, biographies, and videos of the mediators as well as testimonials to assist with the selection process. BASF staff handles conflict checks and full case management. Mediators work with parties to arrive at a mutually agreeable solution. The success rate for the program is 64% and the satisfaction rate is 99%.

Cost: BASF charges an administrative fee of \$295 per party. The hourly mediator fee beyond the first three hours will vary depending on the mediator selected. Waivers of the administrative fee are available to those who qualify. For more information, call Marilyn King at 415-782-8905, email adr@sfbar.org or see the enclosed brochure.

(B) JUDICIAL MEDIATION provides mediation with a San Francisco Superior Court judge for civil cases, which include but are not limited to, personal injury, construction defect, employment, professional malpractice, insurance coverage, toxic torts and industrial accidents. Parties may utilize this program at anytime throughout the litigation process.

Operation: Parties interested in judicial mediation should file a Stipulation to Judicial Mediation indicating a joint request for inclusion in the program. A preference for a specific judge may be indicated. The court will coordinate assignment of cases for the program. There is no charge for the Judicial Mediation program.

(C) PRIVATE MEDIATION: Although not currently a part of the court's ADR program, parties may elect any private mediator of their choice; the selection and coordination of private mediation is the responsibility of the parties. Parties may find mediators and organizations on the Internet. The cost of private mediation will vary depending on the mediator selected.

3) ARBITRATION

An arbitrator is neutral attorney who presides at a hearing where the parties present evidence through exhibits and testimony. The arbitrator applies the law to the facts of the case and makes an award based upon the merits of the case.

(A) JUDICIAL ARBITRATION: When the court orders a case to arbitration it is called "judicial arbitration". The goal of arbitration is to provide parties with an adjudication that is earlier, faster, less formal, and usually less expensive than a trial.

Operation: Pursuant to CCP 1141.11, all civil actions in which the amount in controversy is \$50,000 or less, and no party seeks equitable relief, shall be ordered to arbitration. (Upon stipulation of all parties, other civil matters may be submitted to judicial arbitration.) An arbitrator is chosen from the court's arbitration panel. Arbitrations are generally held between 7 and 9 months after a complaint has been filed. Judicial arbitration is not binding unless all parties agree to be bound by the arbitrator's decision. Any party may request a trial within 60 days after the arbitrator's award has been filed. Local Rule 4.2 allows for mediation in lieu of judicial arbitration, so long as the parties file a stipulation to mediate after the filing of a complaint. There is no cost to the parties for judicial arbitration.

(B) PRIVATE ARBITRATION: Although not currently a part of the court's ADR program, civil disputes may also be resolved through private arbitration. Here, the parties voluntarily consent to arbitration. If all parties agree, private arbitration may be binding and the parties give up the right to judicial review of the arbitrator's decision. In private arbitration, the parties select a private arbitrator and are responsible for paying the arbitrator's fees.

TO PARTICIPATE IN ANY OF THE COURT'S ADR PROGRAMS, PLEASE COMPLETE THE ATTACHED STIPULATION TO ADR AND SUBMIT IT TO THE COURT. YOU MUST ALSO CONTACT BASF TO ENROLL IN THE LISTED BASF PROGRAMS. THE COURT DOES NOT FORWARD COPIES OF STIPULATIONS TO BASF.



Superior Court of California County of San Francisco



HON. JOHN K. STEWART
PRESIDING JUDGE

Judicial Mediation Program

JENIFFER B. ALCANTARA
ADR ADMINISTRATOR

The Judicial Mediation program offers mediation in civil litigation with a San Francisco Superior Court judge familiar with the area of the law that is the subject of the controversy. Cases that will be considered for participation in the program include, but are not limited to personal injury, professional malpractice, construction, employment, insurance coverage disputes, mass torts and complex commercial litigation. Judicial Mediation offers civil litigants the opportunity to engage in early mediation of a case shortly after filing the complaint in an effort to resolve the matter before substantial funds are expended. This program may also be utilized at anytime throughout the litigation process. The panel of judges currently participating in the program includes:

The Honorable Michael I. Begert
The Honorable Suzanne R. Bolanos
The Honorable Angela Bradstreet
The Honorable Andrew Y.S. Cheng
The Honorable Samuel K. Feng
The Honorable Charles F. Haines

The Honorable Harold E. Kahn
The Honorable Curtis E.A. Karnow
The Honorable Charlene P. Kiesselbach
The Honorable James Robertson, II
The Honorable Richard B. Ulmer, Jr.
The Honorable Mary E. Wiss

Parties interested in Judicial Mediation should file a Stipulation to Judicial Mediation indicating a joint request for inclusion in the program and deliver a courtesy copy to Department 610. A preference for a specific judge may be indicated on the request, and although not guaranteed, every effort will be made to fulfill the parties' choice. Please allow at least 30 days from the filing of the form to receive the notice of assignment. The court's Alternative Dispute Resolution Administrator will facilitate assignment of cases that qualify for the program.

Note: Space and availability is limited. Submission of a stipulation to Judicial Mediation does *not* guarantee inclusion in the program. You will receive written notification from the court as to the outcome of your application.

Alternative Dispute Resolution
400 McAllister Street, Room 103, San Francisco, CA 94102
(415) 551-3869

ATTORNEY OR PARTY WITHOUT ATTORNEY (<i>Name and address</i>)	FOR COURT USE ONLY
TELEPHONE NO.:	
ATTORNEY FOR (<i>Name</i>):	
SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN FRANCISCO 400 McAllister Street San Francisco, CA 94102-4514	
PLAINTIFF/PETITIONER:	
DEFENDANT/RESPONDENT:	
STIPULATION TO ALTERNATIVE DISPUTE RESOLUTION (ADR)	CASE NUMBER: DEPARTMENT 610

1) The parties hereby stipulate that this action shall be submitted to the following ADR process:

- ☐ **Early Settlement Program of the Bar Association of San Francisco (BASF)** - Pre-screened experienced attorneys provide a minimum of 2 hours of settlement conference time for a BASF administrative fee of \$295 per party. Waivers are available to those who qualify. BASF handles notification to all parties, conflict checks with the panelists, and full case management. www.sfbar.org/esp
- ☐ **Mediation Services of BASF** - Experienced professional mediators, screened and approved, provide one hour of preparation and the first two hours of mediation time for a BASF administrative fee of \$295 per party. Mediation time beyond that is charged at the mediator's hourly rate. Waivers of the administrative fee are available to those who qualify. BASF assists parties with mediator selection, conflicts checks and full case management. www.sfbar.org/mediation
- ☐ **Private Mediation** - Mediators and ADR provider organizations charge by the hour or by the day, current market rates. ADR organizations may also charge an administrative fee. Parties may find experienced mediators and organizations on the Internet.
- ☐ **Judicial Arbitration** - Non-binding arbitration is available to cases in which the amount in controversy is \$50,000 or less and no equitable relief is sought. The court appoints a pre-screened arbitrator who will issue an award. There is no fee for this program. www.sfsuperiorcourt.org
- ☐ **Judicial Mediation** - The Judicial Mediation program offers mediation in civil litigation with a San Francisco Superior Court judge familiar with the area of the law that is the subject of the controversy. There is no fee for this program. www.sfsuperiorcourt.org

Judge Requested (see list of Judges currently participating in the program): _____

Date range requested for Judicial Mediation (from the filing of stipulation to Judicial Mediation):

- ☐
- 30-90 days
- ☐
- 90-120 days
- ☐
- Other (please specify) _____

- ☐ Other ADR process (describe) _____

2) The parties agree that the ADR Process shall be completed by (date): _____

3) Plaintiff(s) and Defendant(s) further agree as follows:

Name of Party Stipulating

Name of Party Stipulating

Name of Party or Attorney Executing Stipulation

Name of Party or Attorney Executing Stipulation

Signature of Party or Attorney

Signature of Party or Attorney

- ☐ Plaintiff ☐ Defendant ☐ Cross-defendant

- ☐
- Plaintiff
- ☐
- Defendant
- ☐
- Cross-defendant

Dated: _____

Dated: _____

- ☐
- Additional signature(s) attached**

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS

WAYNE RUDEN

(b) County of Residence of First Listed Plaintiff San Francisco, CA

(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorneys (Firm Name, Address, and Telephone Number)

David R. Ongaro (SBN 154698); Glen Turner (SBN 212417)
Ongaro PC, 50 California Street, Suite 3325, San Francisco, CA 94111
Tel: (415) 433-3900 / Fax: (415) 433-3950

DEFENDANTS

C. R. BARD, INC.; BARD PERIPHERAL VASCULAR, INC.; CALIFORNIA PACIFIC MEDICAL CENTER

County of Residence of First Listed Defendant Union, New Jersey

(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.

Attorneys (If Known)

Steven J. Boranian (SBN 174183); Mark A. Sentenac (SBN 286810)
ReedSmith LLP, 101 2nd St., Suite 1800, San Francisco, CA 94105
Tel: (415) 543-8700 / Fax: (415) 391-8269

II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- ☐ 1 U.S. Government Plaintiff
- ☐ 2 U.S. Government Defendant
- ☐ 3 Federal Question (U.S. Government Not a Party)
- ☒ 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

- | | PTF | DEF | | PTF | DEF |
|---|---------------------------------------|----------------------------|---|----------------------------|---------------------------------------|
| Citizen of This State | <input checked="" type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business In This State | <input type="checkbox"/> 4 | <input type="checkbox"/> 4 |
| Citizen of Another State | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business In Another State | <input type="checkbox"/> 5 | <input checked="" type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT	TORTS		FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	PERSONAL INJURY <input type="checkbox"/> 365 Personal Injury - Product Liability <input checked="" type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark	<input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes
REAL PROPERTY	CIVIL RIGHTS	PRISONER PETITIONS	LABOR	SOCIAL SECURITY	
<input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	<input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education	Habeas Corpus: <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty Other: <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement	<input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act	<input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g))	
			IMMIGRATION	FEDERAL TAX SUITS	
			<input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions	<input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609	

V. ORIGIN (Place an "X" in One Box Only)

- ☐ 1 Original Proceeding
- ☒ 2 Removed from State Court
- ☐ 3 Remanded from Appellate Court
- ☐ 4 Reinstated or Reopened
- ☐ 5 Transferred from Another District (specify)
- ☐ 6 Multidistrict Litigation

VI. CAUSE OF ACTION

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):
28 U.S.C. §§ 1332; 1441; 1446

Brief description of cause:

Product liability action involving prescription medical device

VII. REQUESTED IN COMPLAINT:

☐ CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P.

DEMAND \$

CHECK YES only if demanded in complaint:

JURY DEMAND: ☒ Yes ☐ No**VIII. RELATED CASE(S) IF ANY**

(See instructions):

JUDGE _____

DOCKET NUMBER _____

DATE

11/12/2015

SIGNATURE OF ATTORNEY OF RECORD

/s/ Steven J. Boranian

IX. DIVISIONAL ASSIGNMENT (Civil L.R. 3-2)

(Place an "X" in One Box Only)



SAN FRANCISCO/OAKLAND



SAN JOSE



EUREKA